

## Bioavailability – Metals, Organics, and Use at Hazardous Waste Sites

May 28, 2008 Session 1: "Metals"

Dr. Dominic Di Toro, University of Delaware  
Environmental Control of Metal Bioavailability

Dr. Nicholas Basta, Ohio State University  
Assessing Oral Contaminant Human (Bio)availability in Soil with *In Vitro*  
Gastrointestinal Methods:  
Uncertainties, Data Gaps, and Research Needs





## **Environmental Control of Metal Bioavailability**

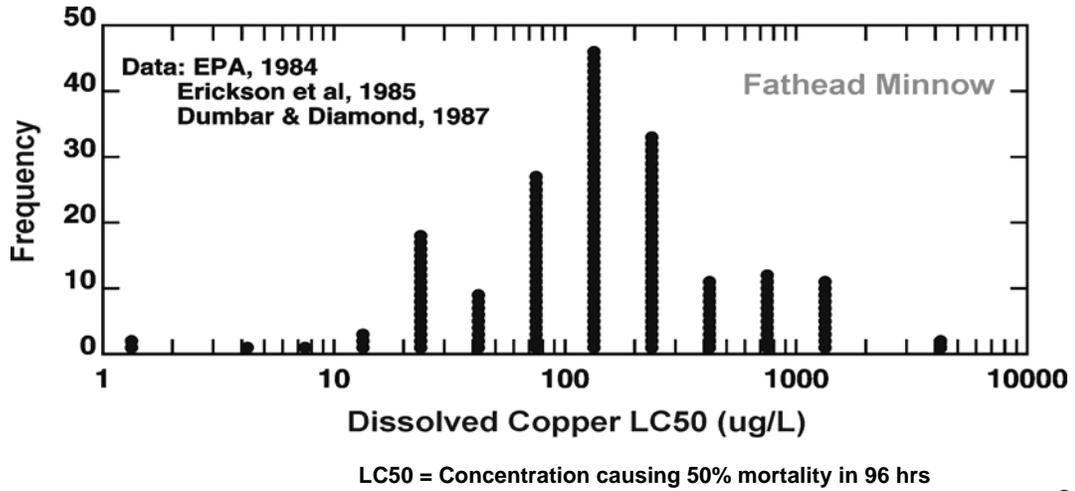
Dominic M. Di Toro

Edward C. Davis Professor of Civil and Environmental Engineering  
Center for the Study of Metals in the Environment  
Department of Civil and Environmental Engineering  
University of Delaware  
Newark, DE

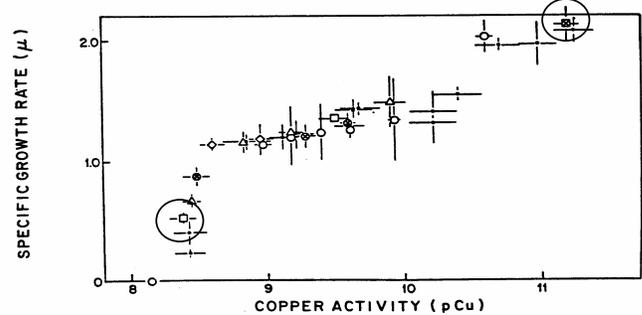
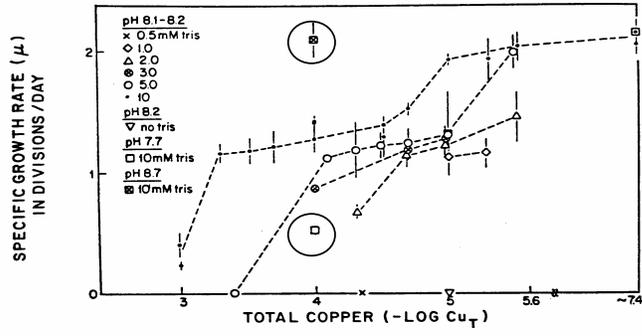
Superfund Basic Research Program  
Webinar  
EPA CLU-IN  
28 May 2008

**2**

Bioavailability  
No correlation between  
Total Cu and Biological Effects



Free Ion Activity Model  
FIAM

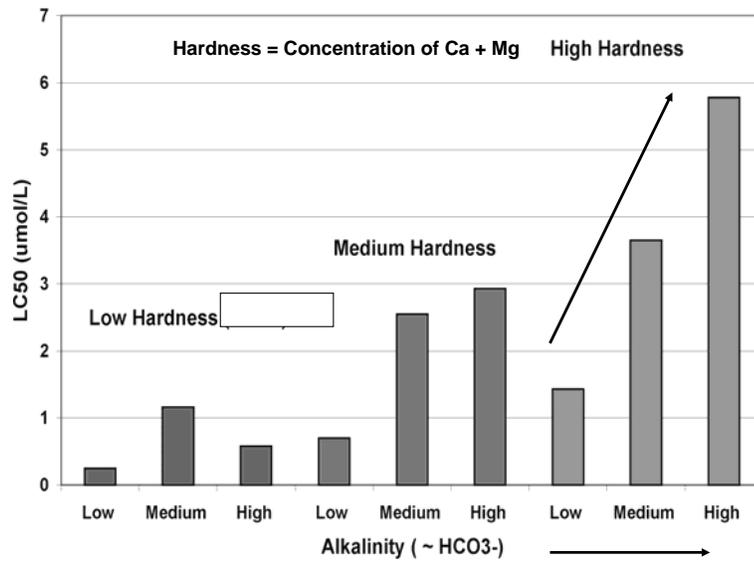


Sunda, W., & Guillard, R. R. L. (1976). *J. Mar. Res.*, 34, 511-529.

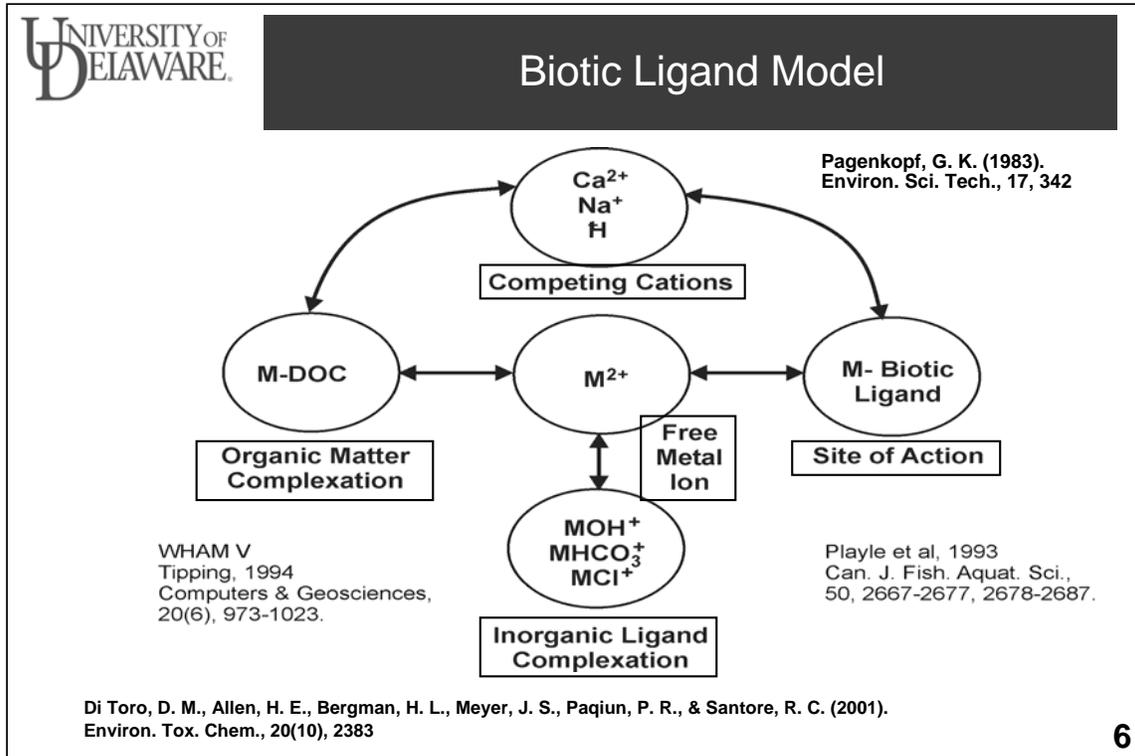
Campbell, P. G. C. (1995). Interactions between Trace Metals and Aquatic Organisms: A Critique of the Free-ion Activity Model. In A. Tessier & D. R. Turner (Eds.), *Metal Speciation and Bioavailability in Aquatic Systems* Wiley.

Speciation  
Effect of Alkalinity and Hardness

Copper LC50 - Cutthroat Trout



Chakoumakos, C., Russo, R.C. Thruston, R.V (1979)  
Environ. Sci. Technol. 13(2) 213



### Overview of Biotic Ligand Model Framework:

The essence of the overall BLM framework (above) was first proposed by Pagenkopf (1983) as the Gill Site Interaction Model (GSIM).

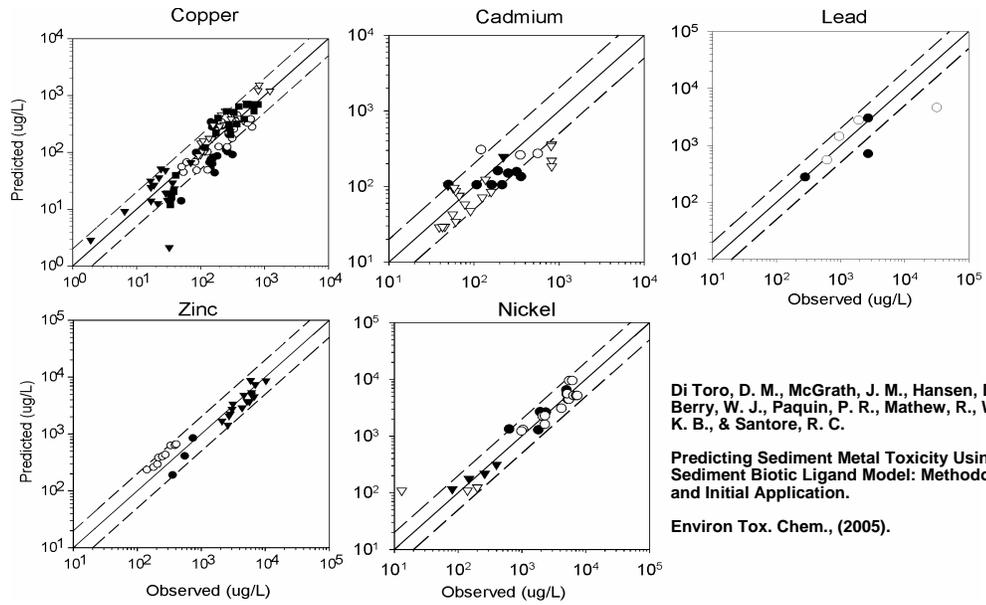
- Chemical equilibrium basics will not be discussed here. However, such models are not new and are generally well accepted by the scientific community.

The BLM consists of 3 main types of interactions:

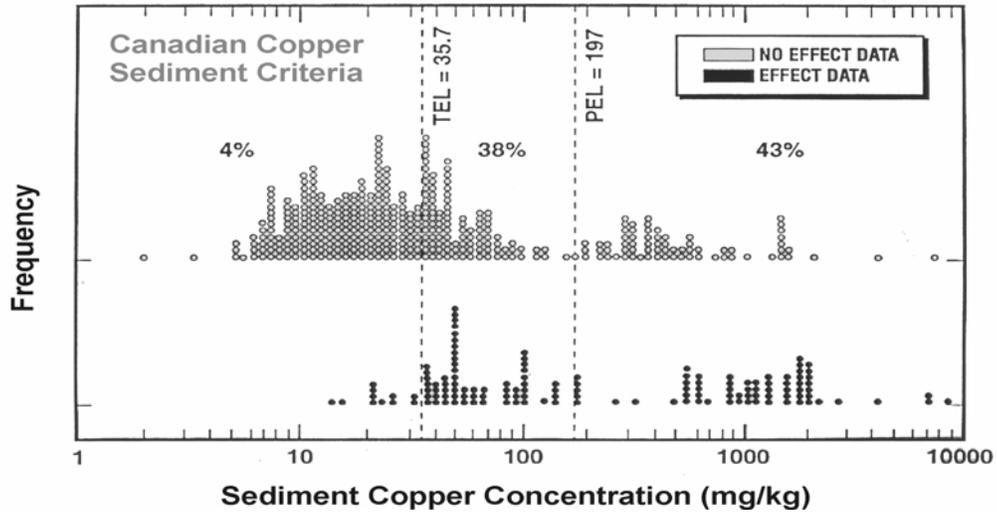
- Metal-Inorganic Ligand Interactions-
  - Chemical Equilibrium in Soils and Solutions (CHESS) (Santore and Driscoll, 1995) serves as the basis of the speciation computations and was adapted to include the metal-OM and metal-biotic ligand interactions described below.
- Metal-Organic Mater (OM) Interactions-
  - Based on the Windermere Humic Aqueous Model (WHAM), Version 5 (Tipping, 1994)
- Biotic Ligand Interactions-
  - Adapted from Playle et al., 1993a,b.

Each of these will be discussed in turn.

# Daphnia Magna BLM LC50 Concentrations

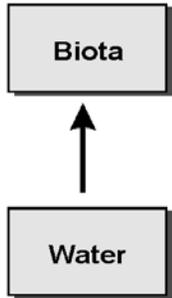


# Bioavailability No correlation between Total Cu and Biological Effects

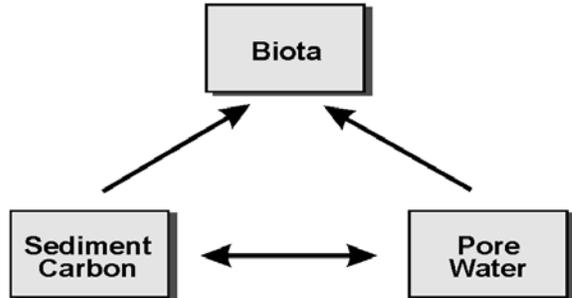


# Equilibrium Partitioning Model of Sediment Toxicity

*Water Only Exposure*



*Sediment - Pore Water Exposure*



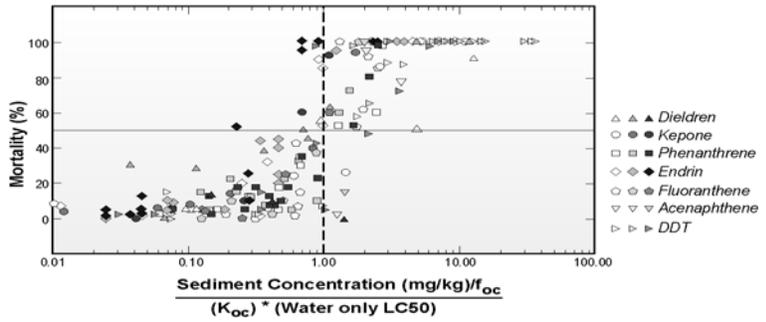
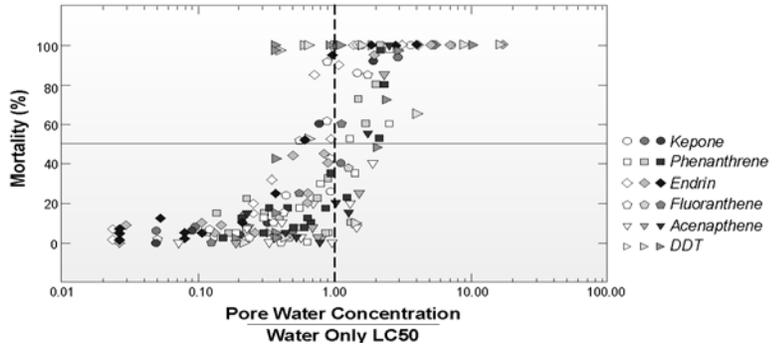
*Equilibrium Partitioning*

Di Toro, D. M., C. S. Zarba, D. J. Hansen, W. J. Berry, R. C. Swartz, C. E. Cowan, S. P. Pavlou, H. E. Allen, N. A. Thomas, P. R. Paquin. (1991). *Environ. Toxicol. Chem.* 11(12): 1541-1583.

Sediment Toxicity Prediction

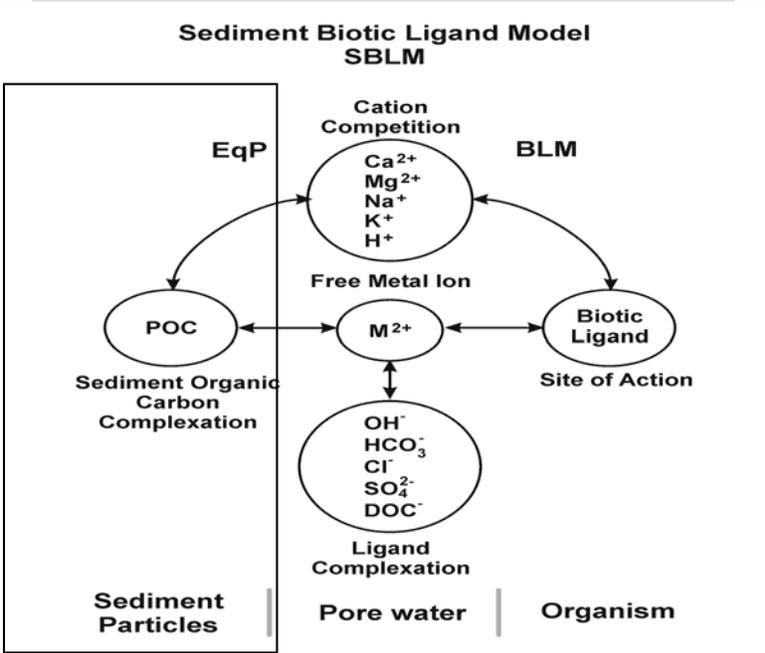
Pore Water

Organic Carbon Normalized



USEPA (2000). Draft Technical Basis for the derivation of Equilibrium Partitioning sediment guidelines (ESG) for the protect of benthic organisms: Nonionic organics No. EPA-822-R-00-001

Application to Sediments  
 Sediment POC Modeled as Humic Acid



Di Toro, D. M., McGrath, J. M., Hansen, D. J., Berry, W. J., Paquin, P. R., Mathew, R., Wu, K. B., & Santore, R. C. Predicting Sediment Metal Toxicity Using a Sediment Biotic Ligand Model: Methodology and Initial Application. Environ. Toxicol. Chem., (2005).

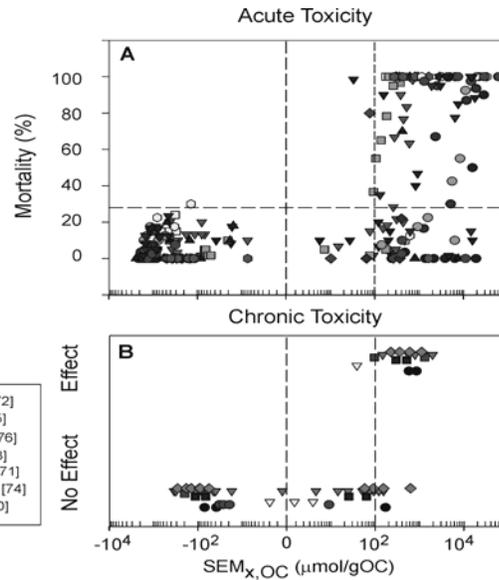
Organic Carbon Normalized Excess SEM

$$SEM_{x,OC} = (SEM - AVS)/f_{OC}$$

Observed Toxicity Boundary

$$SEM_{x,OC} = 100 \mu\text{mol/gOC}$$

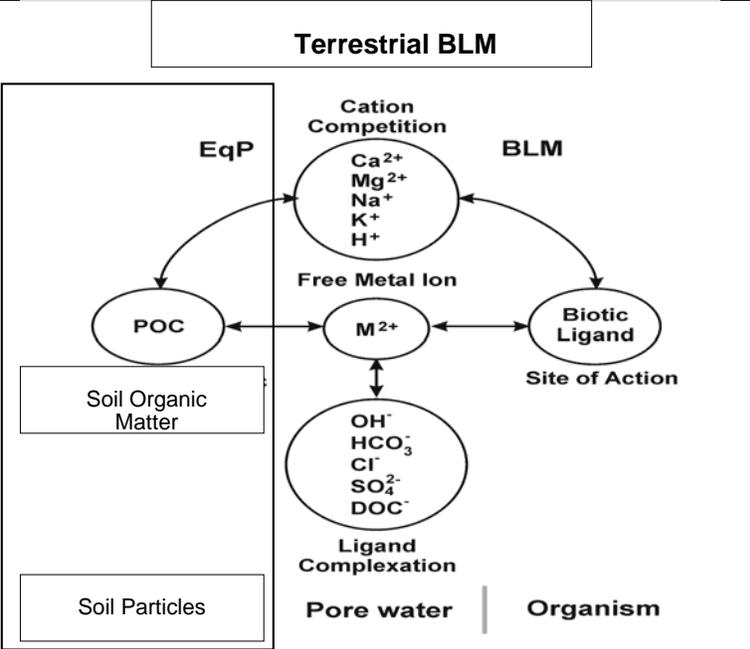
Acute		Chronic	
● Pesch Cd [68]	▲ Casas Cu [67]	● Sibley Zn [72]	● Liber Zn [75]
● Pesch Ni [68]	▲ Carlson Cd L. [69]	● Burton Zn [76]	▽ Hare Cd [73]
▽ Correia Cu [64]	● Carlson Cd H. [69]	▽ DeWitt Cd [71]	■ Hansen Cd [74]
▽ Lee Zn [66]	○ Berry Cu [4]	● Berry Ni [4]	◆ Hoss Cd [70]
□ Kuhn Cd [65]	● Berry Pb [4]	▽ Berry Zn [4]	
■ DeWitt Cd [63]	● Berry Ni [4]	▽ Berry Cd [4]	
◆ Casas Zn [67]			
◆ Casas Pb [67]			



Di Toro, D. M., McGrath, J. M., Hansen, D. J., Berry, W. J., Paquin, P. R., Mathew, R., Wu, K. B., & Santore, R. C. Predicting Sediment Metal Toxicity Using a Sediment Biotic Ligand Model: Methodology and Initial Application. *Environ. Toxicol. Chem.*, (2005).

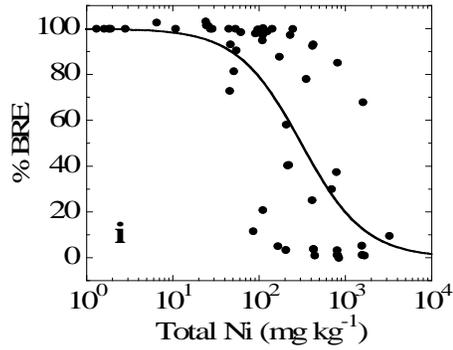
Application to Soils

Soil POC Modeled as Humic Acid

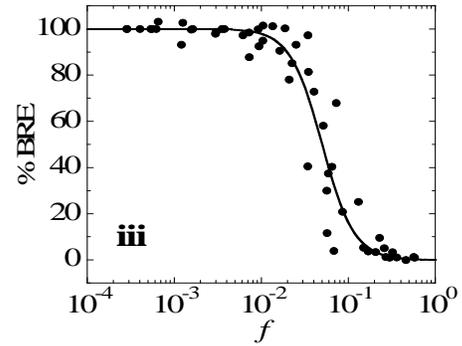


Thakali, S., Allen, H. E., Di Toro, D. M., Ponizovsky, A. A., Rooney, C. P., Zhao, F.-J., and McGrath, S. P. "A terrestrial biotic ligand model I: Development and application to Cu and Ni toxicities to barley root elongation in soils." *Environ. Sci. Tech.*, 40(22) (2006): 7085-7093.

Total Ni

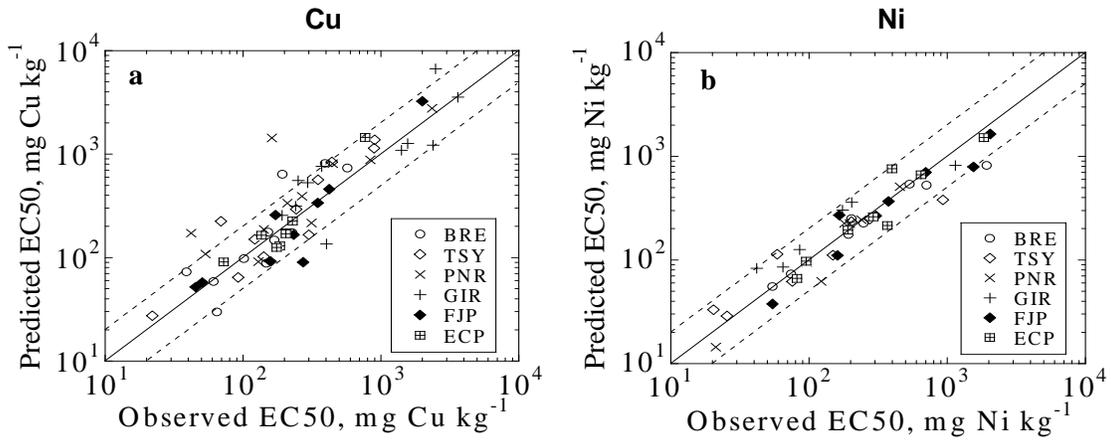


BLM



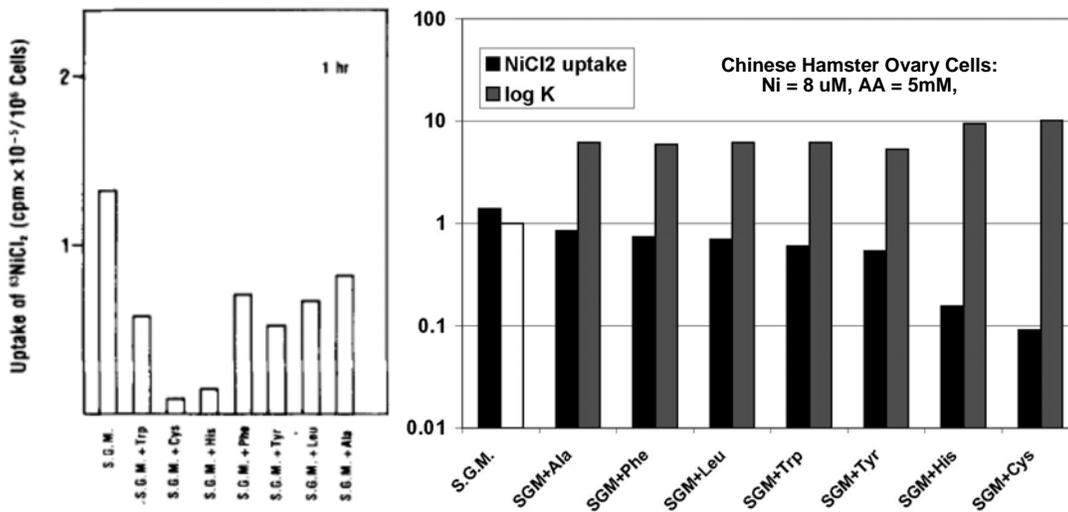
Thakali, S., Allen, H. E., Di Toro, D. M., Ponizovsky, A. A., Rooney, C. P., Zhao, F.-J., and McGrath, S. P. “  
A terrestrial biotic ligand model I: Development and application to Cu and Ni toxicities to barley root elongation in soils.”  
*Environ. Sci. Tech.*, 40(22) (2006): 7085-7093.

Terrestrial BLM  
 Predicted vs. Observed EC50  
 Various Endpoints



Thakali, S., Allen, H. E., Di Toro, D. M., Ponizovsky, A. A., Rooney, C. P., Zhao, F.-J., and McGrath, S. P. "A terrestrial biotic ligand model I: Development and application to Cu and Ni toxicities to barley root elongation in soils." *Environ. Sci. Tech.*, 40(22) (2006): 7085-7093.

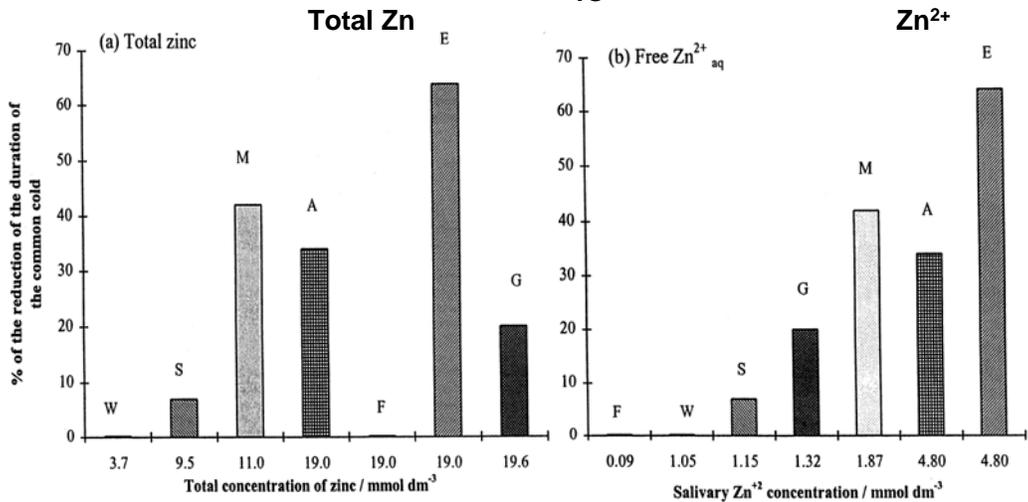
NiCl<sub>2</sub> Uptake and Ni<sup>2+</sup> Binding Constants  
Ni + Amino Acids



The Regulation of Ionic Nickel Uptake and Cytotoxicity by Specific Amino Acids and Serum Components  
MARIA P. ABBRACCHIO, R. MARK EVANS, J. DANIEL HECK, ORAZIO CANTONI, AND MAX COSTA  
BIOLOGICAL TRACE ELEMENT RESEARCH 4, 289-301 (1982)

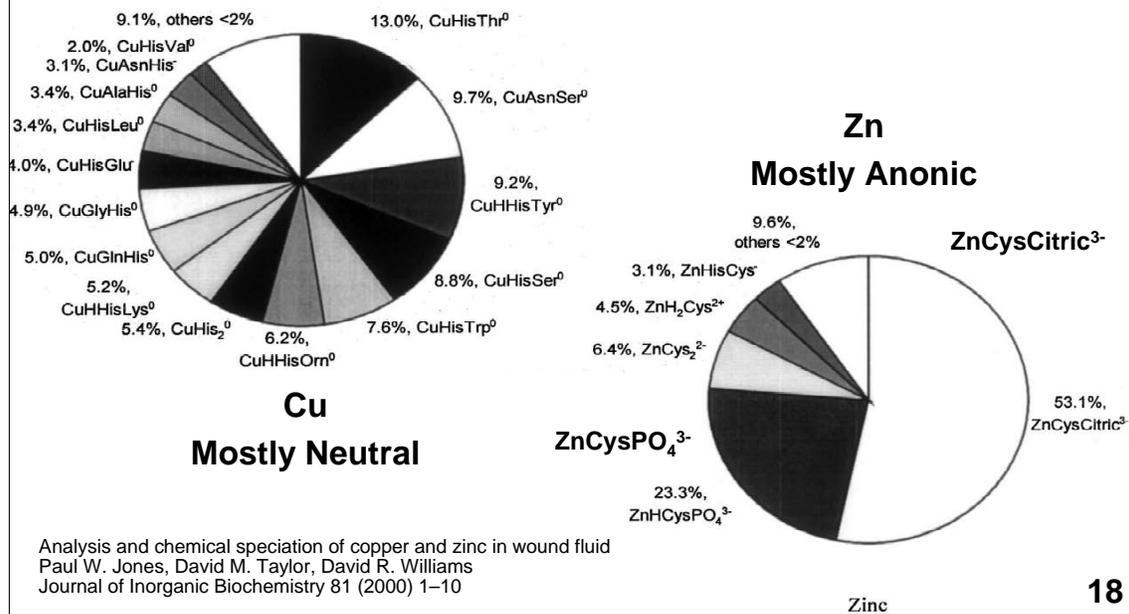
# Free Ion Activity Model (FIAM)

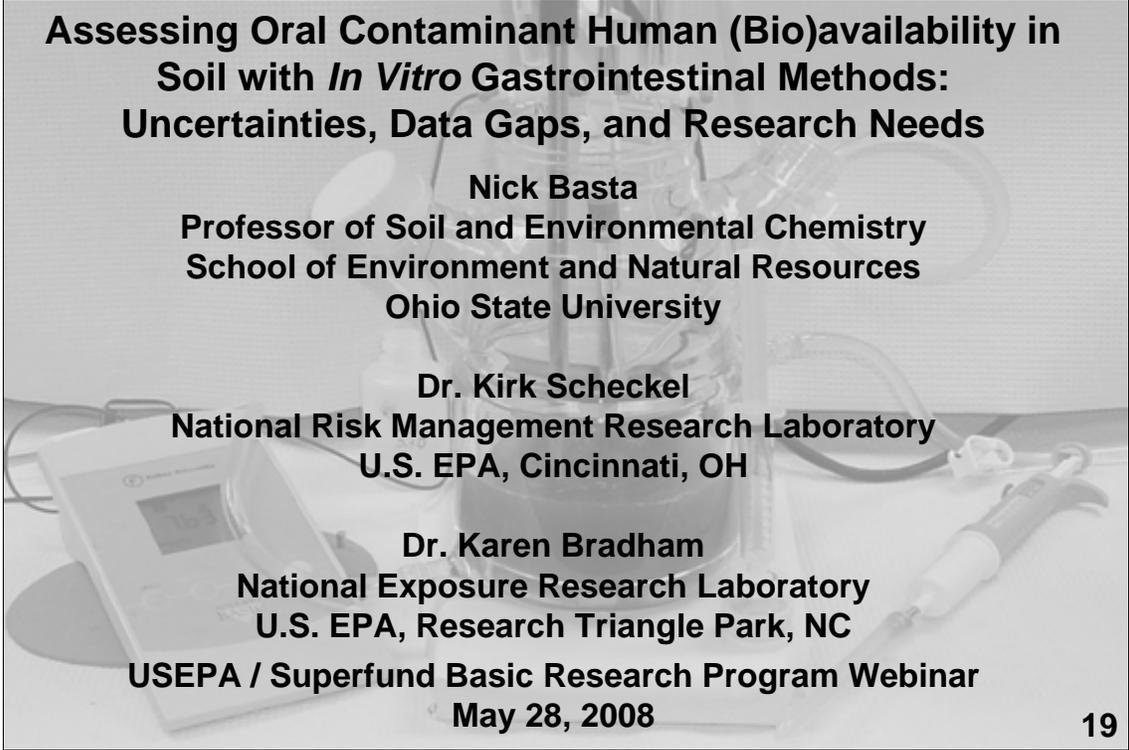
**% Reduction of the duration of the common cold vs**



D.R. Williams : Coordination Chemistry Reviews 185–186 (1999) 177–188

# Speciation in Wound Fluid





**Assessing Oral Contaminant Human (Bio)availability in  
Soil with *In Vitro* Gastrointestinal Methods:  
Uncertainties, Data Gaps, and Research Needs**

**Nick Basta**

**Professor of Soil and Environmental Chemistry  
School of Environment and Natural Resources  
Ohio State University**

**Dr. Kirk Scheckel**

**National Risk Management Research Laboratory  
U.S. EPA, Cincinnati, OH**

**Dr. Karen Bradham**

**National Exposure Research Laboratory  
U.S. EPA, Research Triangle Park, NC**

**USEPA / Superfund Basic Research Program Webinar  
May 28, 2008**

**19**

## Using Bioavailability to Adjust Risk in the Soil Ingestion Pathway “Soil Contaminant Oral Bioavailability”



$$\text{Risk} = [\text{Soil}] \frac{(\text{EF}) (\text{ED}) (\text{IR}) (\text{BIO})}{(\text{BW}) (\text{AT})}$$

How do we measure BIO for children?

Animal model dosing trials  
costly, lengthy, not easily obtained  
data

20

## ***In Vitro* Gastrointestinal Methods** **An Inexpensive, Fast, Accessible Alternative**



### **Sequential extraction, 37°C**

**all have a stomach phase  
some have an intestinal phase**

**may have several intestinal  
simulations for duodenum,  
jejunum, colon, etc.**

***in vitro* “(bio)availability” = dissolved contaminant  
= bioaccessible contaminant**

**bioaccessibility > bioavailability, so *in vitro* assumes worst case**

**21**

## Types of IVG Methods

### Based on contaminant

Inorganic Contaminants (Pb, As, Ni, Cd)

Organic contaminants (dioxin/furans, PAH, pesticides)

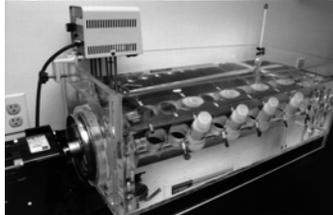
### Based on Type / Complexity

batch (simple) vs. dynamic (complex)

OSU IVG  
batch



SBRC  
RBALP  
batch



SHIME  
dynamic



22

## Select Types of IVG Methods

Method	Type	Main application(s)
PBET / RBALP (Ruby, Drexler)	Batch, fasting	Pb
OSU IVG (Basta, Rodriguez)	Batch, fasting	Pb, As, Cd
RIVM, (Oomen, Sips)	Batch, fed	PAH / Pb, As
SERDP (Lowney)	Batch, fasting	Pb, As
SHIME (Van de Wiele)	Dynamic, fed	PAH, As
TIM, tiny TIM	Dynamic, fed	PAH

**fasting vs. non-fasting**

**Inorganic / fasting: pH very important**

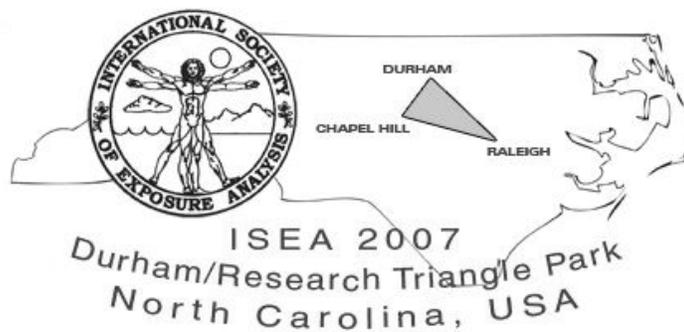
**organic / fed: bile, food used most important**

## ISEA 2007 Conference

### Use of In Vitro Bioaccessibility / Relative Bioavailability Estimates in Regulatory Settings: What is Needed?

Symposium chairs: K. Bradham, U.S.EPA, P. Rasmussen, Health Canada  
R. Schoof, Integral Consulting, Inc., M. Cave, British Geological Survey

### State of Science of IVG Methods List of Data Gaps and Research Needs



**U.S. EPA**  
**Guidance for Evaluating the Oral Bioavailability of**  
**Metals in Soils for Use in Human Health Risk Assessment**  
**OSWER 9285.7-80, May 2007**

**Recommended Criteria for Validation of Test Methods**  
**adapted from ICCVAM**

**“Data generated adequately measure or predict the toxic endpoint of interest and demonstrate a linkage between either the new test and effects in the target species.”**

***In vitro* gastrointestinal (IVG) method must  
be correlated with an acceptable *in vivo* model  
IVG must be *predictive***

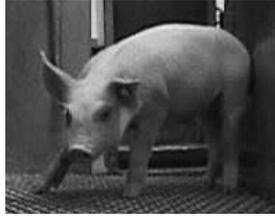
**25**

## Acceptable *In Vivo* Models



**accurate  
bioavailability**

**unlikely  
model**



**acceptable model  
for Pb, As, other  
bioavailability**

**USEPA  
Pb OK; As?**



**acceptable  
model for  
bioavailability**

**expensive  
ethical issues**



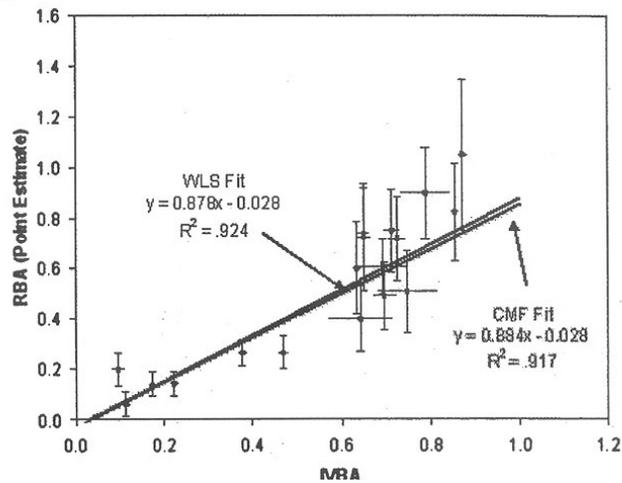
**acceptable  
bioavailability?**

**inexpensive  
recent developments**

**Dave Thomas  
USEPA RTP (ISEA 2007)**

26

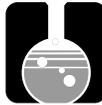
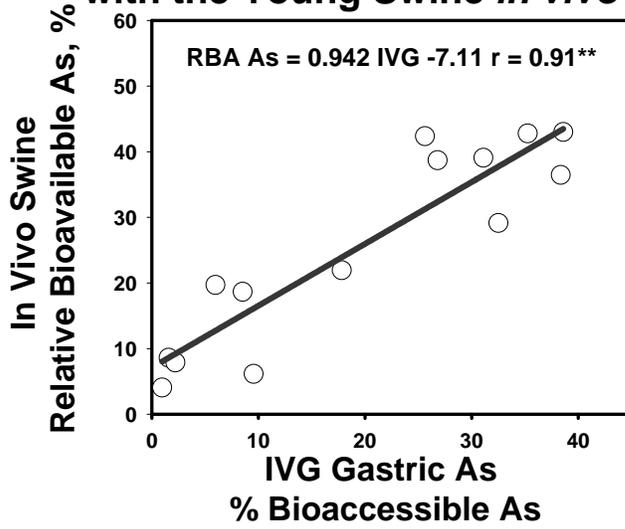
**RBALP *in vitro* gastrointestinal method correlated with immature swine bioavailable Pb**



Drexler and Brattin. 2007. Human Ecol. Risk Assess. 13:383-401.

Estimation of RBA of Pb in soil and soil-like materials using *In Vivo* and *In Vitro* Methods. OSWER 9285.7-77, May 2007

### Correlation of OSU IVG method with the Young Swine *in vivo* model



Basta et al. 2003.  
Grant R825410 Final Report.  
submitted to U.S. EPA ORD

## OSU *In Vitro* Gastrointestinal Method



### Simulated GI extraction at 37°C

#### Gastric bioaccessibility and Intestinal bioaccessibility



Development of Chemical Methods to Assess the  
Availability of Arsenic in Contaminated Media, R825410

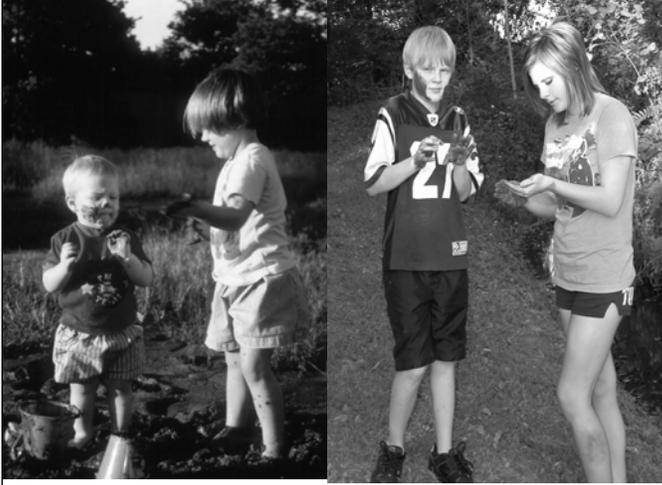
U.S. EPA, Office of Research and Development  
National Center for Environmental Research

N.T. Basta, R.R. Rodriguez, and S.W. Casteel  
Nov 1996 to October 2000.

Rodriguez et al. 1999. ES&T 33:642-649.

Basta et al., 2007. J. Environ. Health Sci. Part A 42:1275-1181

**Research on OSU IVG  
still continuing after 10 yr**



**1997**

**2007**

**the soil isn't contaminated**

**Correlation of “SERDP” method  
with Relative Bioavailable Arsenic**

**Lowney, presented at ISEA 2007  
Primate (cynomolgus monkey) RBA As vs. “SERDP” As**

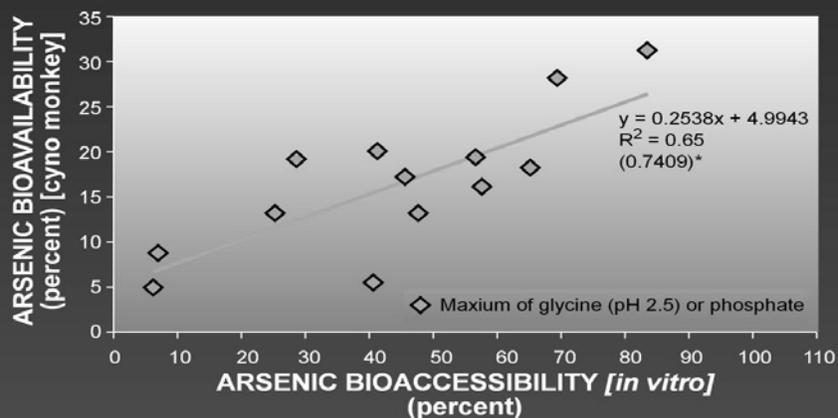
**“SERDP” As: gastric bioaccessibility  
0.4 M glycine/HCl pH 1.5 OR 0.4 M K<sub>2</sub>HPO<sub>4</sub>, pH 2.5**

**use larger bioaccessible As value of two methods**

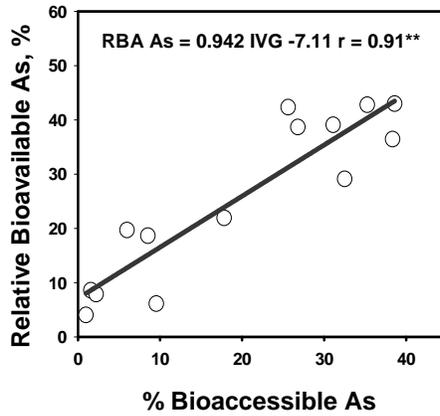
**31**

# IV:IVC

## Arsenic RBA in cynomolgus monkey Dual Extraction ("SERDP Method"): Maximum of Glycine or Phosphate



## Can we use the same method for different contaminants?



OSU IVG correlation with in vivo

As with dosing vehicle

Rodriguez et al. 1999.

ES&T 33:642-649

As without dosing vehicle

Basta et al., 2007. J. Environ.  
Health Sci. Part A 42:1275-1181.

Pb with/out dosing vehicle

Schroder et al., 2004

J. Environ. Qual., 33:513-521.

Cd with/out dosing vehicle

Schroder et al., 2003.

ES&T 37:1365-1370.

Basta et al. 2003.  
Grant R825410 Final Report.  
submitted to U.S. EPA ORD



**IVG Method Correlation Studies**  
**Will the method work for other contaminated soils?**



**Most correlation studies conducted on highly contaminated wastes**

**often > 2,000 mg/kg contaminant of concern**

**Estimating RBA of Pb in Soil and Soil-like materials**  
**(OSWER 9285.7-77, May 2007)**

**Most of 19 solid waste materials from smelter origin**  
**Pb content: 1,590 to 14,200 mg/kg, median 7,225 mg/kg**

**Estimating RBA of Arsenic in Contaminated Soils and Solid Media**  
**(Rodriguez et al., 1999)**

**As content: 233 to 17,500 mg/kg, median 1,460 mg/kg**

**34**

## ***In Vitro* Models**

### **Method Validation Issues**

**Will the *in vitro* test work for all types contaminants/media?**



**Mining waste**

**Do we have to conduct validation studies for all contaminant sources?**

**mining waste, battery waste, paint, coal ash, etc.?**



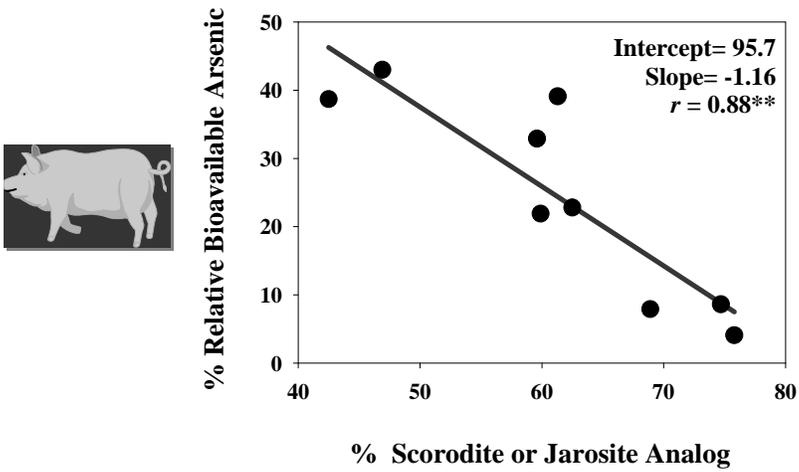
**Lead batteries**

**Better approach – contaminant speciation  
SEM/EDX (J. Drexler); EXAFS (K. Scheckel)**

**Which species are bioavailable?  
Does the *in vitro* test measure them?**

**35**

## Bioavailable Arsenic and Solid Phase Speciation



**Arsenic identified as Scorodite or Jarosite Analog  
inversely related to Relative Bioavailable Arsenic**

36

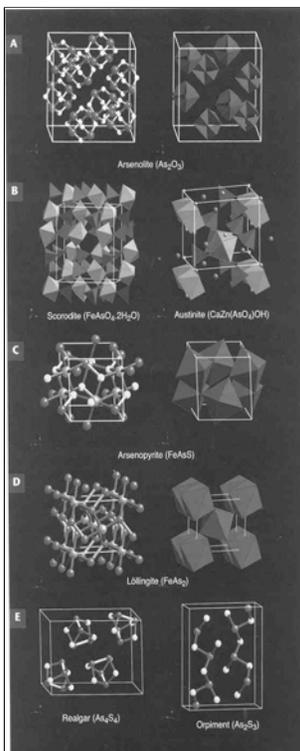
## Arsenic Speciation, Mineralogy, Bioaccessibility, and Bioavailability

We could extrapolate the OSU IVG methods for highly contaminated smelter waste soils to soils/solid waste where scorodite / jarosite As-analog was the arsenic source term

More studies need to document relationship between Arsenic Speciation Bioaccessibility, and Bioavailability

Photo from Peggy A. O'Day. April 2006.  
Elements 2:77-83.  
Chemistry and Mineralogy of Arsenic

37



**Contaminant Concentration in Soil / Solid Waste  
when will bioavailability adjustments be made?**

<b>Highly Contaminated unreasonable adjustment</b>
<b>Moderately Contaminated reasonable adjustment</b>
<b>Background</b>

**High level: 7,000 mg/kg total As or Pb  
Bioavailability has to be very very low  
unreasonable adjustment**

**Moderate level: 300 mg/kg As  
moderate bioavailability so  
reasonable adjustment**

## Assessing Bioavailability of Moderately Contaminated Soil

The greatest utility of IVG or *in vivo* methods may be to assess risk for soils with mod. level contamination  
Pb paint, pesticides, coal ash, CCA, cattle dips, etc.

### Moderately contaminated urban and/or old industrial sites



## Bioavailable (*in vivo*) vs. Bioaccessible (*in vitro*) Method Detection Limits and Contaminant Levels

most *in vivo* dosing studies require highly contaminated soil  
> 500 to 5,000 mg/kg contaminant

Moderately contaminated soil levels  
could be < 1000 mg/kg Pb; < 100 mg/kg As  
Below *in vivo* detection limits

Below *in vivo* working range but  
easily measured by IVG methods

A Strong Advantage of IVG methods  
is the ability to estimate  
(bio)availability at moderate levels

Highly Contaminated  
*in vivo* and *in vitro*

Moderately  
Contaminated  
only *in vitro*

Background

40

**Are we confident to use IVG methods to Estimate Contaminant Bioavailability in Soil for Moderately Contaminated Soils?**

**Knowledge of chemical speciation is essential!**

contaminant species in old orchard soil same as contaminant species in smelter soil (*in vivo* correlation study)?

Yes: then we are more confident to use the IVG (*in vitro*) method for the orchard soil



Smelter contaminated soil

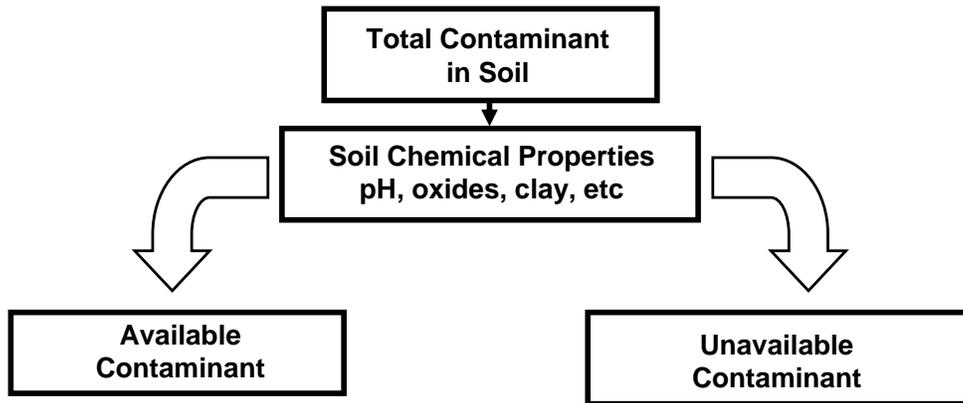


Pesticides in old orchards

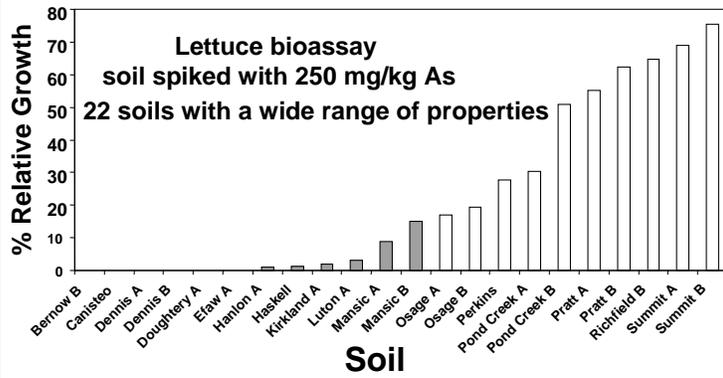
41

## Does the Soil Type Affect Bioavailability?

Soil Chemistry Can Greatly Affect Contaminant Sequestration and Contaminant Bioavailability / Bioaccessibility



## Soil Chemical Components and Properties greatly affect availability and toxicity

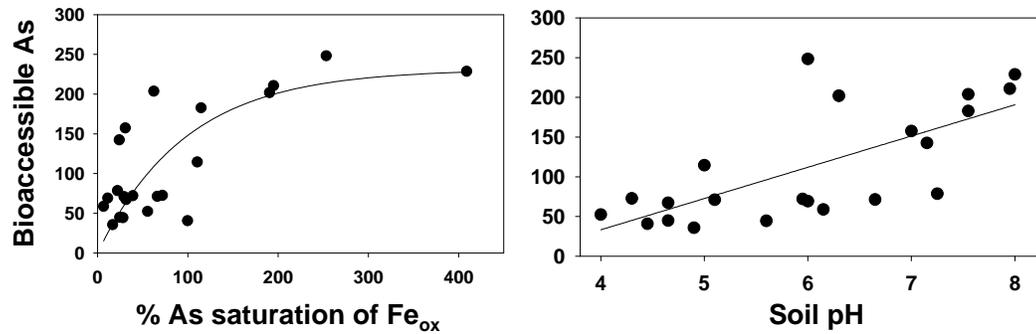


**Soil properties greatly affect bioavailability / toxicity**

Bradham et al. 2006. Environ. Tox. Chem. 25(3):769-775. earthworms Pb

Dayton et al. 2006. Environ. Tox. Chem. 25(3):719-725. lettuce Pb

## Soil Chemical Components and Properties greatly affect IVG Method As bioaccessibility



$$\text{Bioaccessible As} = 87 \log (\% \text{As}_{\text{sat}}) + 31 (\text{soil pH}) - 223 \quad R^2 = 0.7868$$

Similar results as

Yang, Barnett, Jardine, Basta, and Casteel. 2002. Environ. Sci. Technol. 36:4562-4569

**Determine the ability of IVG methods to measure bioaccessibility  
in contaminated soils with a wide range of soil chemical properties**

44

**U.S. EPA**  
**Guidance for Evaluating the Oral Bioavailability of**  
**Metals in Soils for Use in Human Health Risk Assessment**  
**OSWER 9285.7-80, May 2007**

**“A detailed protocol for the test method....., and a description of the known limitations of the test including a description of the classes of materials that the test can and cannot accurately assess.”**

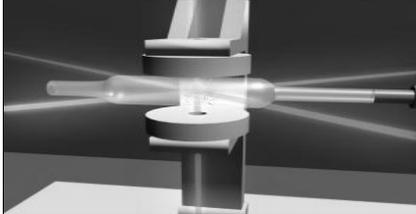
- **Specify the contaminant chemical speciation and**
- **whether the IVG method has been correlated with *in vivo* for the contaminant species in the test material**
- **Measure soil chemical parameters that affect bioavailability**

**Summary**  
**Uncertainties, data gaps, research needs**

- ❖ **Research leading to acceptance of existing / new *in vivo* models**
- ❖ **Document the relationship between arsenic speciation, bioaccessibility, and bioavailability**
- ❖ **Test the use of soil chemical / speciation methods to support IVG data when IVG is the only option**
- ❖ **Determine the ability of IVG methods to measure bioaccessibility in contaminated soils with a wide range of soil chemical properties**



**Thank you for your attention**  
**More information? Please contact:**  
**Nick Basta**  
**School of Environment**  
**and Natural Resources**  
**[basta.4@osu.edu](mailto:basta.4@osu.edu)**  
**[www.snr.osu.edu](http://www.snr.osu.edu)**



47

**Register now for the second and third presentations of the Bioavailability series:**

**“Bioavailability of Organic Compounds: Methods and Case Studies”  
– June 11<sup>th</sup>, and**

**“Use of Bioavailability Information at Hazardous Waste Sites”  
– June 18<sup>th</sup>**

**by following the registration link on the Risk e Learning web page.**

**For more information and archives of this and other Risk e Learning web seminars please refer to the Superfund Basic Research Program Risk e Learning web page:**

**[http://tools.niehs.nih.gov/sbrp/risk\\_elearning/](http://tools.niehs.nih.gov/sbrp/risk_elearning/)**



After viewing the links to additional resources, please complete our online feedback form.

**Thank You**

[Links to Additional Resources](#)

[Feedback Form](#)

49

49