



Welcome to the CLU-IN Internet Seminar
CARE Project Officer's Training
Sponsored by: CARE National Program

Delivered:

June 16, 2011, 12:00 PM - 4:00 PM, EDT (16:00-20:00 GMT)

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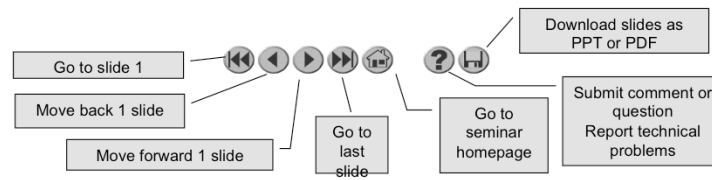
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Visit the Clean Up Information Network online at www.cluin.org

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Housekeeping

- Please mute your phone lines, Do NOT put this call on hold
 - press *6 to mute #6 to unmute your lines at anytime (or applicable instructions)
- Q&A
- Turn off any pop-up blockers
- Move through slides using # links on left or buttons



- This event is being recorded
- Archives accessed for free <http://clu.in.org/live/archive/>

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Although I'm sure that some of you have these rules memorized from previous CLU-IN events, let's run through them quickly for our new participants.

Please mute your phone lines during the seminar to minimize disruption and background noise. If you do not have a mute button, press *6 to mute #6 to unmute your lines at anytime. Also, please do NOT put this call on hold as this may bring delightful, but unwanted background music over the lines and interrupt the seminar.

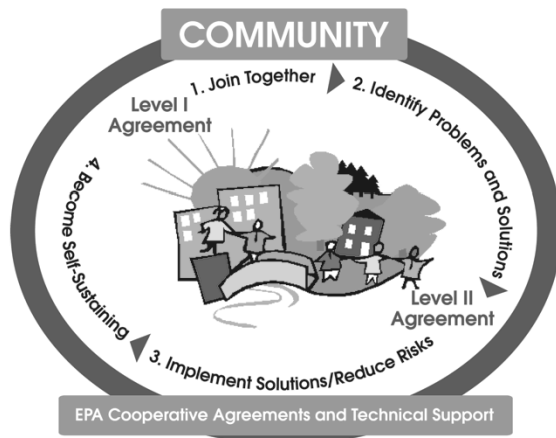
You should note that throughout the seminar, we will ask for your feedback. You do not need to wait for Q&A breaks to ask questions or provide comments. To submit comments/questions and report technical problems, please use the ? Icon at the top of your screen. You can move forward/backward in the slides by using the single arrow buttons (left moves back 1 slide, right moves advances 1 slide). The double arrowed buttons will take you to 1st and last slides respectively. You may also advance to any slide using the numbered links that appear on the left side of your screen. The button with a house icon will take you back to main seminar page which displays our agenda, speaker information, links to the slides and additional resources. Lastly, the button with a computer disc can be used to download and save today's presentation materials.

With that, please move to slide 3.

CARE Roadmap and PACE-EH

Marva King
2011 CARE Project Officer Training
June 16, 2011

Four-step CARE process



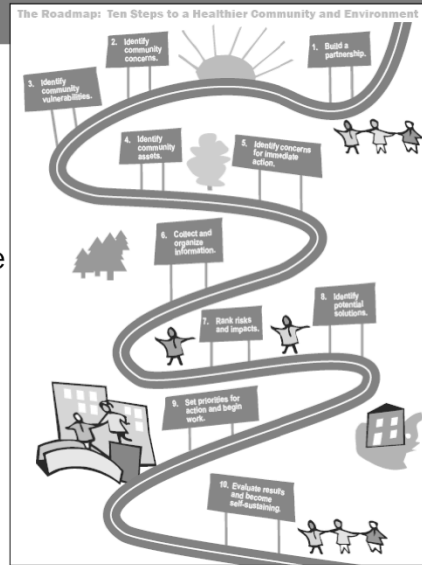
1. Build a Partnership
2. Identify Problems and Solutions
3. Take Action
4. Sustainability

How can this be accomplished?

- CARE doesn't prescribe a single model, but there are a couple of models available:
 - CARE Roadmap (<http://www.epa.gov/care/library/20080620roadmap.pdf>)
 - PACE-EH (CDC and NACCHO's *Protocol for Assessing Community Excellence in Environmental Health*, (<http://www.cdc.gov/nceh/ehs/CEHA/background.htm>))
- Another resource: CARE grantees share their experience on periodic calls and at the annual workshop

CARE Roadmap

1. Build a partnership
2. Identify community concerns
3. Identify community vulnerabilities
4. Identify community assets
5. Identify concerns for immediate action
6. Collect and organize information
7. Rank risks and impacts
8. Identify potential solutions
9. Set priorities for action and begin work
10. Evaluate results and become self-sustaining



PACE-EH

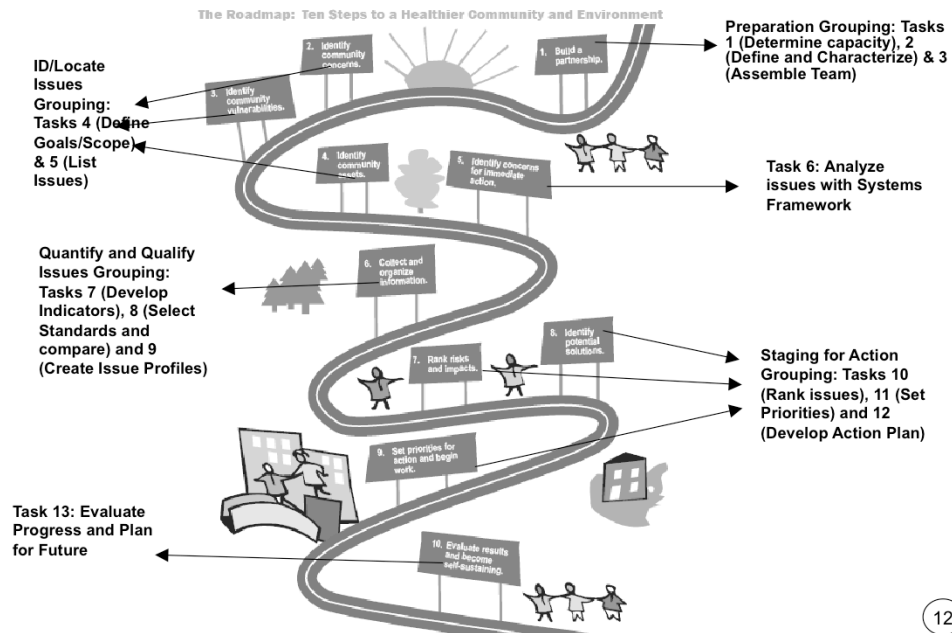
- PACE-EH has more steps than CARE Roadmap and CARE 4-Step process but correlates with both processes.
- There are 13 Tasks of the PACE-EH Model.
- The 13 Tasks can be arranged into 4 major groups.
- Preparation; Identify and Locate Issues; Quantify or Qualify Issues, and Stage for Action.

KEY OBJECTIVES CARE Roadmap	PACE-EH
<ul style="list-style-type: none"> • Reduce Environmental Hazards and Protect Human Health • Target Community Suffering the Greater Environmental Hazard • ID concerns, Rank and Prioritize Risks. • Become Sustainable 	<ul style="list-style-type: none"> • Evaluate Environmental Health Conditions • Target Populations At Risk • Identify Concerns and Set Priorities • Become Sustainable

CARE Roadmap	PACE-EH
<ul style="list-style-type: none"> • Step 1-Build Partnership • Steps 2 (ID community concerns, 3 (ID vulnerabilities) and 4 (ID assets). • Step 5 – ID concerns for immediate action. 	<ul style="list-style-type: none"> • Preparation Grouping -- Tasks 1 (Determine capacity), 2 (Define and Characterize) and 3 (Assemble Team) • ID/Locate Issues Grouping- Tasks 4 (Define Goals/Scope), and 5 (List Issues). • Task 6 Analyze issues with Systems Framework.

CARE Roadmap	PACE-EH
<ul style="list-style-type: none">• Step 6- Collect and organize information• Steps 7 (Rank risks/ impacts), 8 (ID solutions), and 9 (Set priorities for action)• Step 10 – Evaluate Results and Be Self-Sustaining.	<ul style="list-style-type: none">• Quantify and Qualify Issues Grouping --Tasks 7 (Develop Indicators), 8 (Select Standards and compare) and 9 (Create Issue Profiles).• Staging for Action Grouping- Tasks 10 (Rank issues), 11 (Set Priorities) and 12 (Develop Action Plan).• Task 13 – Evaluate Progress and Plan for Future.

PACE-EH and the CARE Roadmap



Important Points to Remember About Both Processes

- Examples of tools you can use –if you choose to do so.
- Steps are simultaneous and iterative. For example partnership building and data collection are ongoing steps.
- Ultimate goal is create a more healthy community.
- Process is designed to achieve consensus and facilitate action.

What to expect as a CARE Project Officer

2011



What to expect as a CARE Project Officer



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Review

Roles of a Project Officer

What to expect as a CARE Project Officer

Negotiating a CARE Cooperative Agreement



What to expect as a CARE Project Officer

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Roles and Responsibilities

Know CARE

(e.g. RFP, Roadmap, PACE-EH, your Proposal, your Grantees' Workplan)

Listen! Pitch In! Ask for Help!

Celebrate Small Successes!

Help your grantee thru the CARE Roadmap



What to expect as a CARE Project Officer

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What to expect as a CARE Project Officer

Familiarize yourself w/ your community and how it works

- **Walk around**
- **Check out their website**
- **You'll be one of the perceived experts in the room so know what is going on there (politics, informal leaders, what they do for fun, where to find good food).**



What to expect as a CARE Project Officer

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What to expect as a CARE Project Officer

Tread lightly when you first start working with a new community.

Be a keen observer, observe reactions, understand who has credibility and respect in the community

Ask (respectfully) lots of questions

Learn about your community's culture, etc. – their formal protocols, their food, their dress, their sense of time.



What to expect as a CARE Project Officer

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Roles and Responsibilities

Review the Roles and Responsibilities summary sheet for Regional Coordinators and Project Officers, the Terms and Conditions document, and how to Negotiate a CARE workplan

Read the CARE 2010/2011 RFP and the original project application.

Understand what the final workplan states and what commitments have been made by the Grantee and the Agency.



What to expect as a CARE Project Officer

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Roles and Responsibilities

Travel to your site as often as possible

Find regional technical help and State and local partners and other available various resources to help sustain the grantee's CARE partnership

Help your partnership “connect the dots” to risk reduction, help them find good measures for their work, sustainability resources, and EPA technical help



What to expect as a CARE Project Officer

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Roles and Responsibilities

Provide EPA Voluntary Program tools and expertise

Help grantee's detail their commitments (through action and documentation) (see Martha Barber's Post Award handout)

Help them understand the Quarterly Report and Measurement Matrix and how best to fill them out.

Documentation IS AN IMPORTANT TASK FOR BOTH PARTIES!



What to expect as a CARE Project Officer²²

Roles and Responsibilities

Look over the CARE Sustainability Checklist at the beginning of your CARE Level 1 or 2 grant process. Work with your grantee to address action items suggested on the Checklist.

Review the CARE “Measurements and Tips” sheet. Use it when creating your CARE workplan.



What to expect as a CARE Project Officer²³

Roles and Responsibilities

Identify & help address gaps/concerns

(e.g. Is the entire community involved; What type of education might work best; How should information be presented; What partners are not at the table; How can their process(es) be improved; How will they make decisions; Is the spoken or written language being used a barrier for members of the community?)



What to expect as a CARE Project Officer

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Roles and Responsibilities

Bring experts (other CARE grantees, State Leads, HQ, other Regions) to your grantee – these partners might have beneficial resources available that they do not possess.



What to expect as a CARE Project Officer²⁵

What to expect as a CARE Project Officer

**Meet w/ your CARE Regional
Coordinator and talk CARE “shop talk”
often**

**This work is challenging; difficult;
confusing; & rewarding ---all wrapped
up together**



What to expect as a CARE Project Officer

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What to expect as a CARE Project Officer

Know that the project most likely will be audited. Help your grantee work, document and deliver work products as if an audit is certain.

You don't have to do this work by yourself, seek out others' help who can guide you (use the CARE "Themes" document to find other Project Officers and grantees working on the same issues.)



What to expect as a CARE Project Officer

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What to expect as a CARE Project Officer

Find a CARE mentor – You'll need one

**Participate on the CARE Project Officer Calls –
let us know what you want to talk about**

**Meet often w/ your grantee (through face-to-
face meetings and/or conf. calls) – it helps!**



What to expect as a CARE Project Officer

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What to expect as a CARE Project Officer

Your workplan, the CARE RFP, the CARE website, and your CARE mentors will be your helpmates and guideposts

Ask for help if you or your grantee is struggling (Don't be surprised if this happens - It most likely will happen somewhere along the way)



What to expect as a CARE Project Officer

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CARE Level 1 Project Officer Tips & Frequently Asked Questions

Your job is to know HOW to proceed thru the CARE Roadmap – Help your grantee define & implement HOW they will proceed.

Understand and be able to explain the need for a defined decision-making process



What to expect as a CARE Project Officer

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CARE Level 1 Project Officer Tips & Frequently Asked Questions

What will their ranking and prioritization process look like and how should it be implemented? – These questions will come up often.

Should the grantee use a neutral facilitator during the meetings???



What to expect as a CARE Project Officer

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CARE Level 1 Project Officer Tips & Frequently Asked Questions

Help your grantee understand “How to best keep their volunteers?”

There most likely will be political barriers – help clear them.



What to expect as a CARE Project Officer

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CARE Level 1 Project Officer Tips & Frequently Asked Questions

Help grantee understand how info can be collected w/out a survey.

Make sure that your grantee understands their budget & their Terms and Conditions – this cooperative agreement is a legally, binding contract.



What to expect as a CARE Project Officer

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CARE Level 1 Project Officer Tips & Frequently Asked Questions

Refer to the Sustainability Checklist with your Grantee throughout the 2 year grant period. Determine what the grantee can do to ensure long term changes.



What to expect as a CARE Project Officer

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CARE Level 2 Project Officer Tips

Your job is to know HOW to proceed thru your grantee's workplan – Help your grantee define & implement HOW they will proceed.

Find out what EPA resources your grantee can access to deliver risk reduction and sustainable local solutions and partnerships.



What to expect as a CARE Project Officer

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CARE Level 2 Project Officer Tips

**Find out what other L2 grants are similar to yours
– meet w/ them to reap their knowledge &
experience**

**Use the Sustainability Checklist throughout the L2
time period.**

**Review, Edit, Improve, Document and Broadcast
your Measures of Success**



What to expect as a CARE Project Officer

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CARE Level 2 Frequently Asked Questions

How do we engage & keep our partners?

What kind of public engagement is necessary at the L2 process?

How can the PO engage Regional/HQ/state experts to help them?

**What happens if there are problems with:
Contractors, sub Grantees, politicians, work stoppage,
personnel issues?**



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

Start with:

- 1) RFP**
- 2) Proposal**
- 3) Roadmap**
- 4) Your notes**



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

**Get the answers to these questions
when creating the workplan:**

How will they implement each task?

Who will implement the task?

When will they implement the task?



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

**Get the answers to these questions
when creating the workplan:**

How does the budget support the task?

**How can their partners help with the
task?**



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

**Read you're the proposal & highlight all of
the stated and potential actions**

**Highlight areas that you have questions or
where there are gaps.**

**Identify what is going to be accomplished
and find out HOW it will be accomplished
during the negotiations.**



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

Know that your workplan is a “best estimate”.

If the workplan is not clear to you – gain clarity during the workplan negotiation or at your first face-to-face meeting.

Circulate your proposal & workplan to others in your Region for their insight



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

**Circulate your proposal & workplan to others in your
Region for their insight**

Does your workplan need a Quality Assurance Plan?

**Consider using a Logic Model to help you & the
grantee keep track of where they are at, what is next,
and how they are doing**



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

**Define what the grantee's Terms and
Conditions will be**

**Does their Budget make sense and does it
support the work?**

**Make sure all Contracts and Subgrants are
clear and competed if need be.**



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

**Work with and befriend your Grant Specialist
– they will help you understand what can
and cannot be done**

**Are you a certified Project Officer? If not,
you will need to become one.**



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

Your PO job after the award –

Review, finalize and post all CARE outputs from the grant to IGMS & to the CARE ESC

Deliver all quarterly reports from your grantee to HQ on time.

Check in often w/ your grantee

Check the Financial Data Warehouse re: their budget usage throughout the grant period.

If amendments need to occur, make sure they are officially documented and approved.



What to expect as a CARE Project Officer

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How do you Negotiate a CARE Workplan?

If you have questions, ask for help from:

Regional Coordinator

Your CARE team

Your CARE Grants Specialist

Your Regional Counsel

Another CARE PO (in/outside of your Region)



What to expect as a CARE Project Officer

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**It is a lot of fun, hard
work and experiential
learning and growth –
But, remember to
BREATHE
and
Enjoy the Ride!**



What to expect as a CARE Project Officer

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□

What to expect as a CARE Project Officer

**If you ever want to
call me for help:**

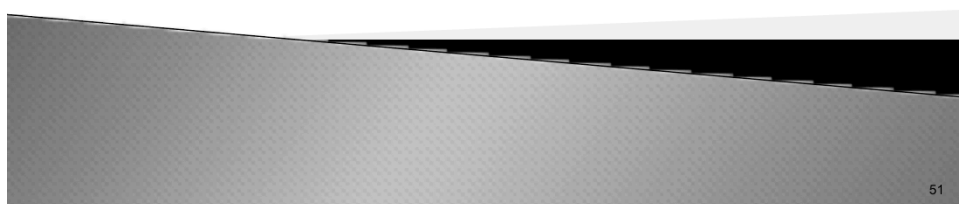
**Kathleen Fenton
913-551-7874
fenton.kathleen@epa.gov**



What to expect as a CARE Project Officer

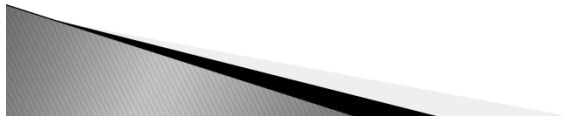
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Grants Do's and Dont's



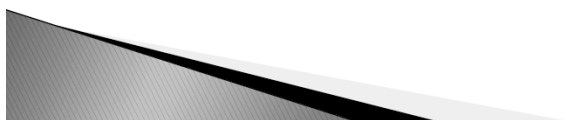
Do's

- Ask questions when you have questions
- Communicate with your recipient and your Grant Specialist early and often
- Set the bar – be clear about your expectations
- Focus on being a partner, not a policeman



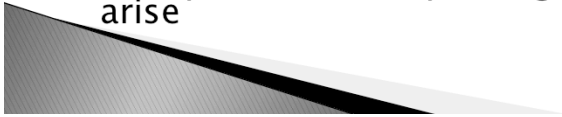
Don'ts

- Wait
- Wonder
- Worry



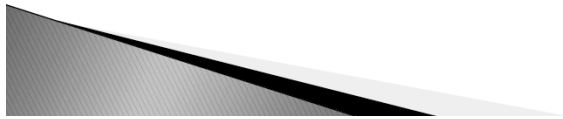
Recipient Responsibilities

- Read the requirements of the award
- Identify an authorized organizational official
- Agree to comply with the laws, regulations, policies, terms and conditions
- Maintain separate financial and programmatic records of their work on the project
- Submit timely cost reimbursement requests
- Submit progress reports
- Notify the EPA if any changes occur/issues arise



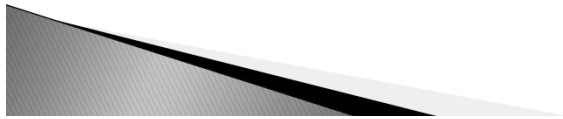
Project Officer Responsibilities

- ▶ Understand (or know where to find) the cost principles for an award
- ▶ <http://ecfr.gpoaccess.gov/>
 - CFR Title 2, Part 230: Cost Principles for Non-Profit Organizations
 - CFR Title 2, Part 220: Cost Principles for Educational Institutions
 - CFR Title 2, Part 225: Cost Principles for States, Local Governments, Tribes



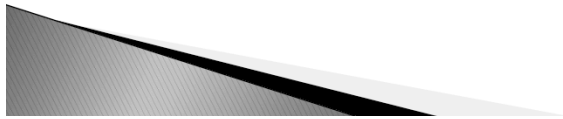
Project Officer Responsibilities for Budget Review

- The budget is the financial expression of the project.
- Review project costs to ensure costs are reasonable, allocable, allowable.
- Cost Categories include:
 - Personnel, Fringe Benefits, Travel, Equipment, Supplies, Contracts, Construction, Other, Indirect Costs, Cost Share (Match)



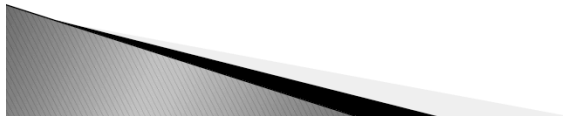
Unallowable Costs

- Advertising/Promotions
- Alcohol
- Entertainment
- Food that does not contribute towards mission/
goals of project
- Fund Raising
- Bad Debts



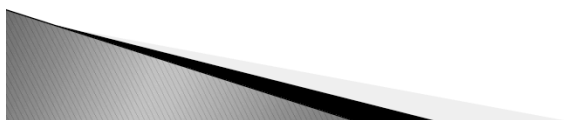
Red Flags

- Narrative and budget don't synch
- Progress reports and \$ requests don't synch
- Whole \$ draw downs
- All \$ drawn down
- Even \$ draw downs



Remedies

- If a recipient fails to comply with the terms and conditions of their agreement, the EPA may:
 - Impose additional requirements
 - Withhold cash payments pending corrective action
 - Disallow costs
 - Wholly or partly suspend current award
 - Withhold future awards
- The EPA will always provide recipients ample opportunity to respond to requirements and appeal decisions



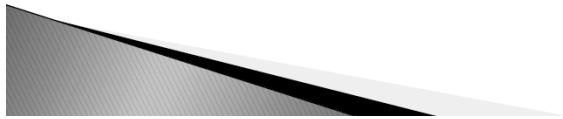
Project Officer Responsibilities for Monitoring

- Review progress reports diligently
- Monitor programmatic conditions
- Complete performance and financial monitoring
 - programmatic baseline monitoring
 - programmatic advanced monitoring
- Communicate



What's New in Grants

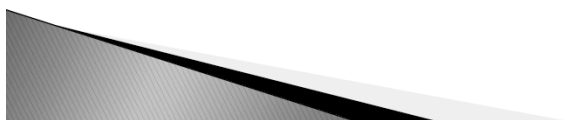
- Tribal Indirect Cost Policy
- Non-Profit Indirect Cost Policy



Tribal IDC Policy

Recipients must submit an IDC proposal to their cognizant agency (Department of Interior, DOI) for negotiation.

- Option 1: Tribes may use a negotiated IDC rate that is up to three (3 years old)
- Option 2: Tribe may charge a flat IDC rate of 10% of salaries and wages.
- Option 3: Tribe may use a current provisional/final IDC rate from an existing grant with DOI.



Non Profit IDC Policy

Recipients must submit an IDC proposal to their cognizant Federal within 90 days of receiving award.

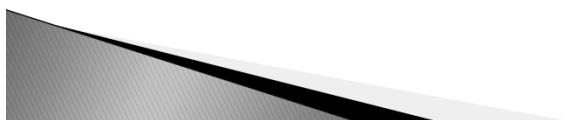
Option 1: Recipient may charge a flat IDC rate of 10%. (proposal submission not necessary)

Option 2: Recipient may use a current provisional/final IDC rate from an existing grant with their Federal cognizant agency.



Do's

- Ask questions
- Communicate
- Set the bar
- Be a partner
- Have Fun



IGMS Grantee Compliance Database for Project Officers

National Policy, Training and
Compliance Division

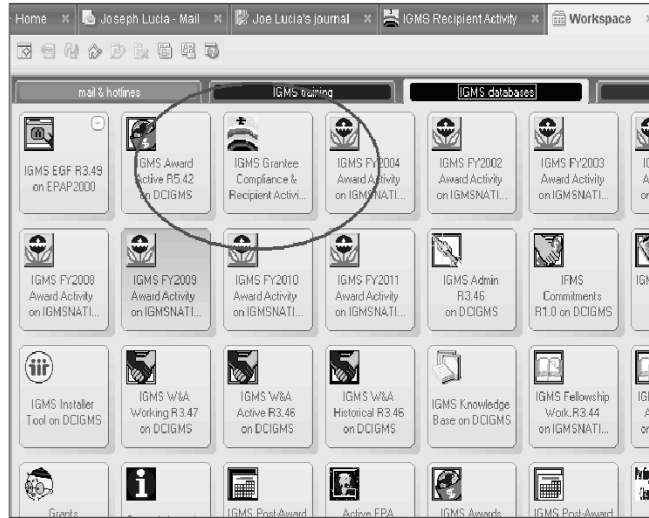
June 2011

What is the Grantee Compliance Database?

- Repository of information about recipients that have received (or have current) grants from EPA.
- Place where Programmatic Advanced Monitoring reports are loaded and stored – must be entered and validated to get credit in the Quarterly Performance Measures (10% target).
- Method of communication between Compliance Team, Project Officers and Grant Specialists for administrative compliance issues with recipients.

Step 1: Finding the Database

- Open your Lotus Notes Workspace.
- You should see the rainbow icon labeled “IGMS Grantee Compliance & Recipient Activity” database.
- If not, contact the IGMS Help Desk to get access, or the IGMS Hotline at (703) 676-4499
- Open the IGMS Grantee Compliance and Recipient Activity database.



Step 2: Finding a Specific Recipient

- The best view for finding recipients is the "Recipient Summary" view
- Note where the cursor is for the database (on NAMAC) the bold frame rectangle.
- If you open the database and immediately click on "add programmatic report" or "add administrative report" your report entry will go under the outlined recipient.
- To add a report to a specific recipient (so you can find it again), you need to find the correct recipient entry first.

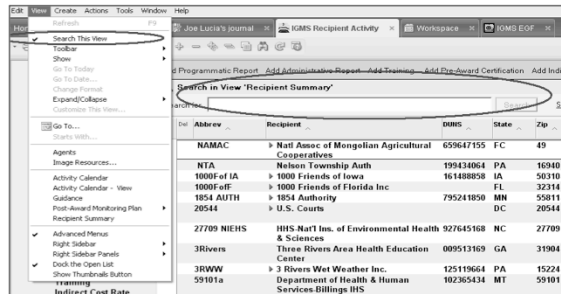
IGMS Grantee Compliance & Recipient Activity Summary R1.33
Release 1.33
Server: DCIGMS

Search in View "Recipient Summary"
Search for: nm pcs

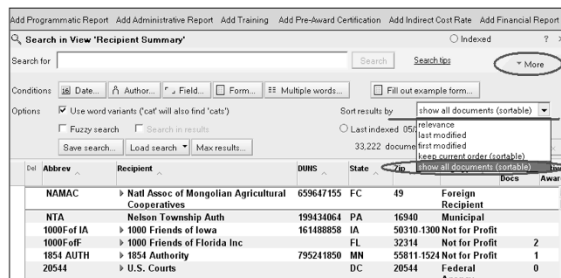
Del	Abbrev	Recipient	DUNS	State	Zip
	NAMAC	Natl Assoc of Mongolian Agricultural Cooperatives	659647155	FC	49
	NFA	Nelson Township Auth	199434064	PA	16940
	1000FoI	1000 Friends of Iowa	161488858	IA	50310
	1000FoF	1000 Friends of Florida Inc		FL	32314
	1854 AUTH	1854 Authority	795241850	MN	55811
	20544	U.S. Courts		DC	20544
	27709 NIEHS	HHS Natl Ins. of Environmental Health & Sciences	927645168	NC	27709
	3Rivers	Three Rivers Area Health Education Center	009513169	GA	31904
	3RWW	3 Rivers Wet Weather Inc.	125119664	PA	15224
	59101a	Department of Health & Human Services-Billings IHS	102365434	MT	59101
	80225 5127	USDA Forest Service R2	929332484	CO	80401
	911MAC	911 Media Arts Center		WA	98109
	A A NET	Allergy/Asthma Network/Mothers of Asthmatics	788990200	VA	22031
	A BIOINF	Assoc. for Biodiversity Information		VA	22209
	A STATA	American Statistical Association	070110986	VA	22314
	A & AFA	Asthma & Allergy Foundation of America		MA	02467

Step 2: Finding a Specific Recipient (cont.)

- Always open the search bar when trying to find a recipient.
- Go to “View” and then “Search This View” to open the search. The search window should stay up the next time you enter the database unless you manually close it.
- Enter the name (or part of the name) of the recipient you would like to find.



- When searching, open the “More” tab and “show all documents (sortable)”. This shows all recipients alphabetically. Sorting by relevance (the default) only shows results for the word(s) you entered and may not show the recipient you are looking for.



Step 3: Interpreting Search Results

- Here's a sample search for University of Nevada.
- There are 23 documents in the database that have your search terms somewhere in them.
- Any document that matches your search term has a check mark in the leftmost column.
- Additional documents are located under the main heading if you click on the triangle next to the recipient name.

Add Programmatic Report Add Administrative Report Add Training Add Pre-Award Certification Add Indirect Cost R

23 documents in View "Recipient Summary" matched your search.

Search for university of nevada Search Clear Results

Del	Abbrev	Recipient	DUNS	State	Zip	Org T
✓	BR UCSSN	Board of Regents-UCSSN University of Nevada	146515460	NV	89557	State Insti High Lear
✓	BR UCSSN3	Board of Regents-UCSSN University of Nevada		NV	89557-0240	State Insti High Lear
✓	BRA	Boston Redevelopment Authority	807923219	MA	02201	Mun
	BRA GRAT	BRA of the County of Gratiot	095938437	MI	48847	Cou
	BRA SANCTY	Brownfield Redev. Authority of	181599291	MI	48471	Cou

Programmatic Report Grant: 82980001 Activity Date: 09/23/2005 Created by: Roberto R

- If you highlight the next entry after the last check mark and hit search again it will take you to the next entry in the database from the 23 matches for University of Nevada.

Add Programmatic Report Add Administrative Report Add Training Add Pre-Award Certification Add Indirect

23 documents in View "Recipient Summary" matched your search.

Search for university of nevada Search Clear R

Del	Abbrev	Recipient	DUNS	State	Zip	Org T
✓	BR UCSSN	Board of Regents-UCSSN University of Nevada	146515460	NV	89557	
✓	BR UCSSN3	Board of Regents-UCSSN University of Nevada		NV	89557-024	
✓	BRA	Boston Redevelopment Authority	807923219	MA	02201	
	BRA GRAT	BRA of the County of Gratiot	095938437	MI	48847	
	BRA SANCTY	Brownfield Redev. Authority of	181599291	MI	48471	

Programmatic Report Grant: 82980001 Activity Date: 09/23/2005 Created by: R

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Step 3: Interpreting Search Results (cont.)

- Here's a search for Georgia Department of Natural Resources.
- The search bar is not case sensitive, so you don't have to capitalize the terms you search for.
- The search found several entries with the same name. The triangle next to each name shows that there are reports posted under each.
- This is likely because there are multiple entries for this recipient in the IGMS Public Address Book (PAB).
- You may need to look under all versions of the name to find the report you are looking for.
- Clicking on the triangle opens the entries under each entity name.

41 documents in View 'Recipient Summary' matched your search.					
Search for georgia department of natural resources					
Del	Abbrev	Recipient	DUNS	State	
✓	GA DNR EPD	Georgia Department of Natural Resources	146985544	GA	
	GA DNR LPD	GA Dept of Natural Resources	146985544	GA	
	GA DNR MSP	Georgia Dept. of Natural Resources	146985544	GA	
	GA DNR PPD	GA Dept of Natural Resources	146985544	GA	
	GA DNR WRD	GA Dept of Natural Resources	146985544	GA	
	GA DOA	GA Dept of Agriculture	066494436	GA	
	GA DOT	GA Dept of Transportation	090344248	GA	
	GA EastPCS	City of East Point		GA	
	GA Eatonton	City of Eatonton Georgia	927898551	GA	
	GA ED	Georgia Environmental Organization	012688300	GA	
	GA EFA	GA Environmental Finance Authority	089697358	GA	

	GA DDA SocCr	Downtown Development Authority of Social Circle	027185065	GA	3
	GA DekalbPks	Dekalb Co. Park and Recreation Department	061420535	GA	3
	GA DHR	GA Dept of Human Resources		GA	3
	GA DNR CRD	GA Dept of Natural Resources	146985544	GA	3
✓	GA DNR EPD	Georgia Department of Natural Resources	146985544	GA	3
	GA DNR EPD	GA Dept of Natural Resources	146985544	GA	3
		>Programmatic Report: Grant: 63174701 Activity Date: 05/23/2005 Cre			
		>Programmatic Report: Grant: 96449806 Activity Date: 09/13/2006 Cre			
		>Programmatic Report: Grant: 96424905 Activity Date: 11/02/2006 Cre			
		>Programmatic Report: Grant: 00499906 Activity Date: 11/01/2006 Cre			
		>Programmatic Report: Grant: 97401205 Activity Date: 06/16/2005 Cre			
		>Programmatic Report: Grant: 97495904 Activity Date: 06/16/2005 Cre			
		>Programmatic Report: Grant: 98492405 Activity Date: 06/16/2005 Cre			
✓		>Programmatic Report: Grant: 98492405 Activity Date: 09/06/2006 Cre			

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Step 4: Information about a Recipient (Recipient Summary)

- If you double click the recipient name a new window opens.
- This Recipient Summary has the recipient's address, contact information and other items.
- This is also the screen where you can add programmatic reports, administrative reports and other documents by clicking on the correct tab in the top grey bar.

[Add Programmatic Report](#)
[Add Administrative Report](#)
[Add Training](#)
[Add Pre-Award Certificate](#)
[Add Indirect Cost R](#)

Recipient Summary

GA DNR LPD - GA Dept of Natural Resources

Recipient Information

Basics		Organization Address	
Name:	GA Dept of Natural Resources	Street address:	Two Martin Luther King Jr. Drive, Suite 1152 East
Abbreviation:	GA DNR LPD	City:	Atlanta
DUNS:	148985544	State/province:	GA
EPA Region:	EPA R4	Zip/postal code:	30334
Applicant Type:	State	Country:	USA
Web Site:		Congressional Dist:	05
State Cost Review Assurance(s) on File:	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	WFO Code:	
		Minority Institution Flag:	

PAB Organization View => **PAB Organization View**

Recipient Point of Contact

Award Information

Awards Summary for GA Dept of Natural Resources		
	# of Awards	Cumulative Amount
All Awards (EGF)	12	\$16,728,433
Active Awards (EGF)	7	\$6,347,433
Closed Awards	5	\$1,044,041

EGF Recipient View => **EGF Recipient View**

Open IGMS Awards for GA Dept of Natural Resources

Proj	Grant Number	Title	Grant Specialist	Project Officer	Award Date	Co
PA	05406100-4	Georgia FY10 FACS	Shantel Shelton	Genita Ray	4/8/2010	
I	05411400-1	GA DNR FY10/09 RIVER/STREAM SURVEY	Shantel Shelton	Joan Cooper	9/15/2010	
GA	05403400-2	GA DNR NATTS FY10	Shantel Shelton	Artha Cooper	9/15/2010	
OS	05401801-1	FY10 Exchange Internship-Georgia Dept Natural	Shantel Shelton	Rock Taylor	9/15/2010	
I	05411500-1	Rex	Shantel Shelton	Joan Cooper	11/15/2010	
CB	05409500-A	GA - FY10/09 Supplemental Monitoring Initiative	Shantel Shelton	Joan Cooper	12/22/2010	
DD	05421500-3	FY11 GA DNR 604 (B) WQBP A-A	Shantel Shelton	Nancy Echols	3/16/2011	
		GA - DEPA Economic Recovery	Shantel Shelton			
Total	7					

Recipient Training Information

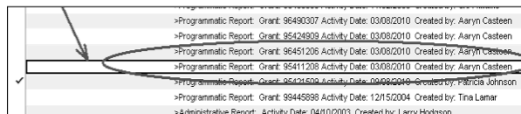
Type of Training	Training Media	Date of Training

Post Award & Compliance Assistance Activities

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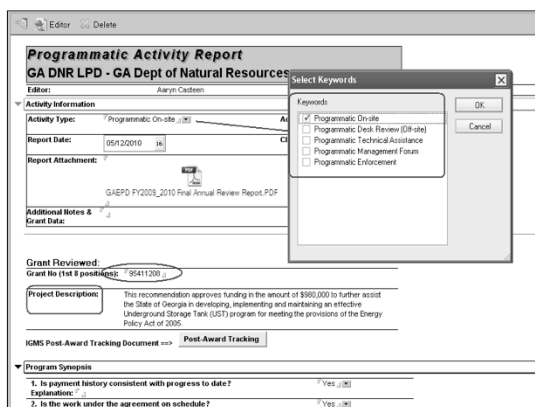
Step 5: Creating or Viewing a Programmatic Advanced Monitoring Report

- Let's look at a programmatic advanced monitoring report.
- Select a Programmatic Report entry and double click to open it.



>Programmatic Report - Grant: 95490307 Activity Date: 03/08/2010 Created by: Aalryn Casteen
>Programmatic Report - Grant: 95424809 Activity Date: 03/08/2010 Created by: Aalryn Casteen
>Programmatic Report - Grant: 95451206 Activity Date: 03/08/2010 Created by: Aalryn Casteen
>Programmatic Report - Grant: 95411208 Activity Date: 03/08/2010 Created by: Aalryn Casteen
>Programmatic Report - Grant: 95433606 Activity Date: 03/08/2010 Created by: Patricia Johnson
>Programmatic Report - Grant: 99445898 Activity Date: 12/15/2004 Created by: Tina Lamar
>Administrative Report - Activity Date: 04/10/2003 Created by: Larry Hodgson

- Note that the recipient name is listed in the green header bar.
- When creating a new report entry, make sure the correct recipient name is listed – or your report will be posted under someone else.
- If you input the eight digit grant number (omit the program letter code) the project description from the IGMS award auto-populates.
- When you create your entry you will select the relevant activity type from the pop-up window for the type of report you've performed (onsite, desk, etc.).



Programmatic Activity Report
GA DNR LPD - GA Dept of Natural Resources

Editor: Aalryn Casteen

Activity Information

Activity Type: ☒ Programmatic On-site (36)

Report Date: 05/12/2010 (36)

Report Attachment: GAEPD FY2008_2010 Final Annual Review Report.PDF

Additional Notes & Grant Date:

Grant Reviewed: Grant No (val 8 possible): 95411208

Project Description: This recommendation approves funding in the amount of \$980,000 to further assist the State of Georgia in developing, engineering and marketing an effective Underground Storage Tank (UST) program for meeting the provisions of the Energy Policy Act of 2005.

IGMS Post-Award Tracking Document --> [Post-Award Tracking](#)

Program Synopsis

1. Is payment history consistent with progress to date? Yes (36)

2. Is the work under the agreement on schedule? Yes (36)

Select Keywords

Keywords:

☒ Programmatic On-site

☐ Programmatic Desk Review (28-site)

☐ Programmatic Technical Assistance

☐ Programmatic Management Forum

☐ Programmatic Enforcement

OK Cancel

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Step 5: Creating a Programmatic Advanced Monitoring Report (cont.)

- Under the “Program Synopsis” heading are the standard questions section you should respond to when performing your review.
- Each question has a dropdown answer and a textbox available for explanation.
- If you return to a previously posted report, double click anywhere in the answer sections to begin editing the report document.

Program Synopsis	
1. Is payment history consistent with progress to date?	Yes
Explanation:	
2. Is the work under the agreement on schedule?	Yes
Explanation:	
3. Is the actual work being performed within the scope of the recipients workplan?	Yes
Explanation:	
4. Are the recipient's staff and facilities appropriate to handle the work under the agreement.	Yes
Explanation:	
5. Are the products/progress reports submitted on time?	Yes
Explanation:	
6. Are the products/progress reports acceptable?	Yes
Explanation:	
7. Is the recipient making adequate progress in achieving outcomes and outputs and associated milestones in the assistance agreement work plan?	Yes
Explanation:	
8. If the recipient is experiencing significant problems meeting agreed-upon outcomes and outputs, has the recipient been required to develop and implement a corrective action plan?	N/A
Explanation:	
9. Has the recipient complied with the programmatic terms and conditions on the award? (e.g., GMP, Human Subjects, Program Income, etc.)	Yes
Explanation: OAPP is currently under development	
10. Did the recipient purchase equipment/property as planned in the agreement?	N/A
Explanation:	
11. Has the equipment been used as planned in the agreement?	N/A
Explanation:	
12. Does this review indicate any need to amend the award?	No
Explanation:	

Step 5: Creating a Programmatic Advanced Monitoring Report (cont.)

- In the findings section of the report, some are only for administrative and financial reviews (conflict of interest or cost/price analysis).
- Others apply to program reviews like Unallowed Costs (out of scope work), Undocumented Cost Share, Progress Reports, Quality Assurance, Noncompliance with Terms and Conditions, Property Management, Subrecipient monitoring, Results not being Achieved and Environmental Results.
- Add an explanation if you have Other findings to report.
- Any follow up actions should be provided in the Specifics section.
- Always enter your Region and AA ship at the bottom.
- This data is used to track you programs annual performance and if its meeting its advanced post award monitoring commitments.
- It also helps you find the report again.

Findings		
<input checked="" type="checkbox"/> None	<input type="checkbox"/> Payroll Problems	<input type="checkbox"/> Property Management Findings
<input type="checkbox"/> Possible Conflict of Interest	<input type="checkbox"/> No Travel Policy	<input type="checkbox"/> Indirect Costs Issues
<input type="checkbox"/> Possible Lobbying	<input type="checkbox"/> Undocumented Cost Share	<input type="checkbox"/> Labor Distribution Findings
<input type="checkbox"/> No Accounting Manual	<input type="checkbox"/> Internal Controls Missing	<input type="checkbox"/> Cash Management
<input type="checkbox"/> No Written Procurement Procedures	<input type="checkbox"/> Progress Reports	<input type="checkbox"/> Subrecipient Contract Monitoring
<input type="checkbox"/> No Procurement Conflict of Interest Policy	<input type="checkbox"/> Progress Income	<input type="checkbox"/> Results not being achieved
<input type="checkbox"/> No Cost or Price Analysis	<input type="checkbox"/> Quality Assurance	<input type="checkbox"/> Environmental Results Findings
<input type="checkbox"/> Commingling of Funds	<input type="checkbox"/> A-133 Audit	<input type="checkbox"/> Other / please explain in below text area.
<input type="checkbox"/> Unallowable Costs	<input type="checkbox"/> Noncompliance with Terms and Conditions	

Explanatory Text:

Action Against Recipient:

<input checked="" type="checkbox"/> No Action Required	<input type="checkbox"/> FO Referral
<input type="checkbox"/> Recipient Placed on Reimbursement	<input type="checkbox"/> On-Site Referral
<input type="checkbox"/> Special Award Terms and Conditions (attach below)	<input type="checkbox"/> Internal EPA Corrective Action Required (attach specifics below)
<input type="checkbox"/> Stop Work Issued	<input type="checkbox"/> Other Remedies (attach specifics below)
<input type="checkbox"/> Award(s) Terminated	

Specifics: (Enter or attach additional information as needed.)

Validation Information

Validation Date: 10/01/2010 Validated By: AJ Demarck

Recipient Point of Contact

Project Manager: Derrick Williams
Title: Program Manager, UST Management Program
E-Mail: derrick.williams@ehr.state.ga.us **Phone:** 404-362-2582
Address: 4244 International Parkway
City: Atlanta **State:** GA **Zip:** 30354
Other Recipient Info/Comments:

EPA Contact Information

EPA Lead: Aryn Castlem
E-Mail: Castlem.Aryn@epa.gov **Phone:** 404-562-6969

EPA Office: EPA Region: R4 AAShip: R4 - Region 4 Oversight: R4A

Other Participants:

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- One you create a report you can switch to the “By Creator” view, go to your name and easily find it.
- Here’s the GA Dept of Natural Resources that Aaron Casteen posted under her name.
- The other “views” under GCAI activities are similar and self explanatory.

IGMS Grantee Compliance & Recipient Activity Summary R1.33

Release 1.33
Server: DCHGMS

Search in View: Activities/By Author

Search by: [Department of natural resources] Search

By Organization: [High Risk] Recipient & Grant No.: [Arava Institute] Activity Date: [03/28/2019] Report the

Recipient Summary
GA Activities

By Recipient
By AAHSB
By Region
By FY
By Lead
By Creator

By Activity Type
High Risk
Activity Calendar
Pre-Award Certification
Statistical Selection

Activity Date	Activity Description	Activity Status
02/25/2009	GA DNR LPO - GA Dept of Natural Resources - 9511108	04/28/2008
03/08/2010	GA DNR LPO - GA Dept of Natural Resources - 9511108	05/12/2010
03/08/2010	GA DNR LPO - GA Dept of Natural Resources - 9512009	05/12/2010
02/25/2009	GA DNR LPO - GA Dept of Natural Resources - 9512009	04/28/2008
03/08/2010	GA DNR LPO - GA Dept of Natural Resources - 9512009	05/12/2010
02/25/2009	GA DNR LPO - GA Dept of Natural Resources - 9512009	04/28/2008
03/08/2010	GA DNR LPO - GA Dept of Natural Resources - 9512009	05/12/2010
03/08/2010	GA DNR LPO - GA Dept of Natural Resources - 9512009	05/12/2010
03/28/2019	MS DEQ - MS Dept of Environmental	06/16/2019

- Here's a view by AA ship with the twisty open for OAR.
- For these two reports the author completed the division data element at the bottom of the database entry as "Climate Change Division" so its easy and quick to find with this view.

ICMIS Grants
Compliance & Recipient Activity
Summary R1.33

Release 1.33
Server: DCMGMS

Search in View "ActivitiesByAAASIP"
Search for [go dir ipd] [Search] [SearchList] [Index]

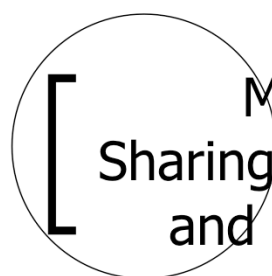
Del # ACASIP - Division - High Risk Recipient & Grant No. Activity

111 > OA - Office of the Administrator
298 > Office of Air and Radiation


21 > Air
1 > Air and Waste Management Division (R)
1 > Air Division
1 > Air, Pesticides and Toxics Management Division
4 > AirTMD
5 > AirT0
1 > AirT0/APDB
1 > ATD
1 > CDB
2 > CSD
1 > CSDO
2 > Climate Change Division

CPAF - Centre for People & Environment
E337001
UNEP SA - Universidad Nacional de la Provincia (6420)
E337101

Climate Protection Partnerships Division
Compliance and Reporting



Marketing CARE : Sharing CARE Stories, Results and Promising Practices



Presented by Tiana Blount
2011 CARE PO Training
Thursday, June 16, 2011

[Telling the CARE Story and Results: Objectives]

- The Importance of Marketing
- Types of Marketing
- Marketing Tips
- Marketing Questions
- Promising Practices



Telling the CARE Story and Results: The Importance of Marketing

External Benefits

- Community Involvement
- Community Exposure
- Increased Partnerships
- Financial Support
- Future Sustainability

Internal Benefits

- Technical Support
- Financial Support
- Program Exposure
- Increased Partnerships
- Future Sustainability



Telling the CARE Story and Results: Types of Marketing

- Public Events: Big Check Ceremonies, Ribbon Cutting Events, Press Events, Signings, Workshops, Trainings, Collections, Cleanups, and Community Meetings
- Media: Radio, TV, Newspapers, Minority Press, Internet (Email, Facebook, Twitter, YouTube, and Blogs)
- Materials: Signs, Posters, Banners, Pamphlets, Brochures, and Publications (EPA's Promising Practices)



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Telling the CARE Story and Results: Marketing Tips

- Grantees Should:
 - Specify outcomes by quantifying measures (e.g., reductions in pollution)
 - Explain how the community has built capacity for future action and emphasize leveraging methods
 - Use innovative approaches to get results
 - Present in a manner that's easily/quickly "digestible" to your audience



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Telling the CARE Story and Results: Marketing Tips

- Project Officers and Regional Coordinators Should:
 - Encourage community-driven marketing (externally and internally)
 - Ensure grantee includes marketing in the workplan budget
 - Work with Regional Public Affairs Office and Communication Officer (Identify the process specific to your region)
 - Proper use of EPA logo
<http://www.epa.gov/productreview/guide/app2.html#reprint>
 - Utilize Public Event Checklist when applicable (see handout)



Telling the CARE Story and Results: Marketing Questions

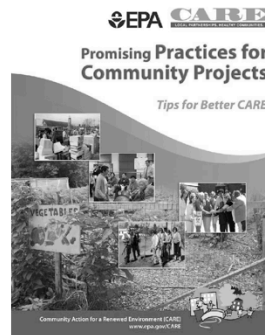
- Keep goals front and foremost as EPA and community work together:
 - What will we want to be able to say about our accomplishments at the end?
 - What are the measures of success?
 - What results do we want to be able to report on?
Can we get quantifiable results?
 - How did CARE change the community?
 - Is there a promising practice that's being used?



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Telling the CARE Story and Results: Promising Practices

- This Promising Practices Document meets both needs of marketing and promoting peer-to-peer learning.
- Features 14 CARE communities and their stories
- End of each story is a "Project Results," 4-6 bullet box defining key results (e.g. reductions in pollution, outcomes, outputs, sustainability factors)



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Telling the CARE Story and Results: Promising Practices II

- In the process of developing Edition II to feature more stories and market CARE.
- All regions are developing at least one 2-page story now.
- Focus on clearly articulating quantifiable and other “results” and telling a story



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Telling the CARE Story and Results: Promising Practices Results Box Example #1

(New Haven Government)

- Over 12,000 households made a renewable energy commitment, 50% businesses signed up for energy efficiency program, city purchased 20% in renewable energy
- Revitalized brownfields site and installed wind turbine
- Retrofitted 150 vehicles and 300 school buses in city fleet
- Reduced rates of asthma and lead poisoning (%age would be preferable)
- Built bike paths, installed bike racks across city, etc...



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Telling the CARE Story and Results: Promising Practices Example #1

(New Haven City Government)

- Used Environmental Sustainability
 - Every new city policy and regulation had to consider the environment and environmental sustainability
 - Rewrote city policies, zoning, standards to improve the environment
 - Greened New Haven, incorporated renewable energy, addressed diesel and asthma issues.



Telling the CARE Story and Results: Regional Examples/Wrap Up/Questions

- The Importance of Marketing
- Types of Marketing
- Marketing Tips
- Marketing Questions
- Promising Practices

QUESTIONS



Resources & Feedback

- To view a complete list of resources for this seminar, please visit the **Additional Resources**
- Please complete the **Feedback Form** to help ensure events like this are offered in the future

EPA United States Environmental Protection Agency
Technology Innovation Program
U.S. EPA Technical Support Project Engineering Forum
Green Remediation: Opening the Door to Field Use Session C (Green Remediation Tools and Examples)
Seminar Feedback Form

We would like to receive any feedback you might have that would make this service more valuable.
Please take the time to fill out this form before leaving the site.

First Name:
Last Name:
Email Address:
Date of Seminar:

☐ Please send a copy of my feedback confirmation as a record of my participation to this address.

Need confirmation of your participation today?

Fill out the feedback form and check box for confirmation email.